EXHIBIT 3 Part 2 of 2

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days for what happened. I admit I had a problem with
 1
   her, but all we had was an argument
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          PRESIDING COMMISSIONER ENG: But did you pull a
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 4
   knife on her. Because this was in your interview with a
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   psychologist. This was not a court document.
. 6
          INMATE ROMERO THROUGH INTERPRETER: Well, in my
   files, in my court files it was stated that I had
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   threatened her with a knife, but I never did threaten
   her with a knife. We did have problems and we argued.
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   I don't deny that. That's true.
          PRESIDING COMMISSIONER ENG: Okay. Any other
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   questions, Commissioner?
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          DEPUTY COMMISSIONER HERRON: No, Ma'am.
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          PRESIDING COMMISSIONER ENG: Okay. So I'm going
   to open it up to Mr. Lockhart. Any questions you would
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   like posed to this inmate?
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          DEPUTY DISTRICT ATTORNEY LOCKHART: No, thank
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   you, Commissioner.
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          PRESIDING COMMISSIONER ENG: Okay.
                                               Ms.
20
   Christensen?
          ATTORNEY CHRISTENSEN: I do. Now, this AA group
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   in Puebla, you mentioned that your father is an
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   alcoholic. So do you know if he attends this group
24
   also?
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          INMATE ROMERO THROUGH INTERPRETER: I don't know.
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I don't know if he's doing it or not. 1 ATTORNEY CHRISTENSEN: What about the victim of 2 3 the crime, Jose Gomez, what are your feelings concerning 4 the effects of the crime on his family? 5 INMATE ROMERO THROUGH INTERPRETER: The truth is I committed a crime. I understand that his family was 6 7 really bothered by it. And again it was due to the alcohol this happened, and that's why I'm going to avoid 8 9 all that. 10 ATTORNEY CHRISTENSEN: Have you done anything to 11 make amends for this? 12 INMATE ROMERO THROUGH INTERPRETER: Amends of 13 what? 14 ATTORNEY CHRISTENSEN: Well, one of the 12 steps talks about making amends. Have you been able to work 15 16 those steps? INMATE ROMERO THROUGH INTERPRETER: 17 18 number eight, for example, states that we should 19 identify the persons that we have caused harm to and to 20 do some steps to make up for that. What I've done honestly is I've tried to put down everything negative 21 22 that I've done and everything positive that I've done 23 and try to balance it out. 24 ATTORNEY CHRISTENSEN: Okay. No more questions. 25 PRESIDING COMMISSIONER ENG: Anything? Okay,

final statements, Mr. Lockhart.

2 DEPUTY DISTRICT ATTORNEY LOCKHART: Thank you.

I'm asking the Board to find the inmate unsuitable for parole because he has failed the first step for suitability, which is acceptance of an insight into the murder for which he is incarcerated.

First the inmate says that he stabbed Jose Gomez in self-defense. Secondly he says he doesn't know how he stabbed Jose Gomez but it must have been what he's characterized as an accident all of these years. His self-defense claim is absurd for the following reasons. He made the choice to go over there with his buddies to the house belonging to Jose Gomez. He made the choice to engage in this macho confrontational behavior because his buddy wanted to assert his dominance over Sofia, the woman. If you read the Appellate opinion which is part of the file, the Court of Appeal said that this was an unprovoked beating by the inmate and his friends.

Secondly, the inmate was armed. Jose Gomez was not. That's also in the Appellate opinion. You don't get to bring a knife to a verbal confrontation that you initiate, turn it into a violent confrontation and then assert a self-defense claim. You can't even bring a knife to a fistfight and assert self-defense without some circumstances indicating that the knife is

necessary to keep you from being harmed or killed.

What we have here is an initiation by the inmate and his buddies. They go over there. They start everything. They are armed or at least he's armed, and now all of a sudden we claim it's self-defense. It doesn't make sense. The testimony moreover from the witnesses that's in various reports in the file, was that the inmate himself grabbed Jose Gomez, pulling Jose Gomez towards him and then stabbed him in the chest.

How is it self-defense? If you're running away from someone who, he says he's crossing the street and going on the other sidewalk. How -- why would he pull the person from which he's running and afraid and stab him if this is self-defense claim. It doesn't make sense.

Additionally his claim that Jose Gomez, and this is in the psych report, I believe it's in the Board report as well, that Jose Gomez came after him and tried to stab him in the head and he put up his hands and then got the stab wound in the arm does not comport with the evidence that was presented at trial which is that Jose Gomez was unarmed. And again that's in the Appellate opinion and you can't get around that.

The fact that he claims it's an accident is also ridiculous. He says in the current Board report that it

was an accident. I accidentally stabbed him. Well, the victim, Jose Gomez, was stabbed eight times, again in the Appellate opinion. The Appellate opinion further states that at least three of those stab wounds were from the inmate, two of them in the chest. That's not an accident. You don't accidentally stab someone at least three times. Now he's claiming there weren't eight stab wounds. And the Appellate opinion does say that at least three of them were from him.

It might be more believable if the inmate were to claim that he was too drunk to remember what happened, but he can't even do that. Because he testified at his first trial that he wasn't feeling the effects of alcohol and he had no memory lapse and that's on page two of the Appellate opinion. So his it's all due to the alcohol excuse conflicts with his own testimony at the first trial.

In fact that was the essence of his second appeal was that he testified at the first trial, said I didn't feel any of the effects of alcohol. I have a clear memory of what happened. Then at the second trial, he didn't testify and the DA introduced the prior statements and that was the subject of the second appeal was to say that that was in error and the Appellate court said no it was not error and, in fact, made

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reference to those statements in saying that the inmate did not feel the effects of the alcohol, even though he's a .16. Apparently, at least if you believe his trial testimony, he has a high tolerance for alcohol. He had a clear memory of what happened. So he can't sit here and say the alcohol, the alcohol, I don't know why it was going on, how did this accident occur, self-defense, I don't have a clear recollection, it doesn't comport. It's all summed up in the final statement of the Appellate opinion on page six and I'll read therefrom. The court says, "The evidence here was that the unarmed victim was the subject of an unprovoked beating by Romero and his cohorts and that Romero personally stabbed him at least three times, twice in the chest. Romero's own previous testimony negated any claim that his ability to reason was impaired by alcohol. What more need be said. It was more than adequate to support a conviction for murder." Nevertheless, he continues after all these years to say this is a self-defense claim. Obviously the jury didn't buy that twice, and he continues to mislead this Board. He minimizes his conduct. He propounds

Frankly, I can't believe that anyone ever thought

ridiculous excuses that don't fit the evidence.

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he was suitable for parole given his total lack of
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   insight. Nor do the previous one year denials
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    adequately reflect how truly unprepared he still is.
4
    I'm urging the Board to render a multi year denial
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    thereby communicating to the inmate the seriousness of
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    his lack of insight and how much work he needs to do to
    prepare himself for a finding of suitability.
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    you.
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          PRESIDING COMMISSIONER ENG: Ms. Christensen.
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          ATTORNEY CHRISTENSEN: Now that's just
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    ridiculous. You've got a split decision last time and
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   he's very suitable for parole. It's time for the
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    taxpayers of the State of California to stop paying for
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    the board and care of this inmate here and send him back
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   to Mexico where he will not attempt to enter California.
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   He has done a lot with his life since coming to prison.
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   He will certainly be leaving a far better person than he
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    was when he entered the institution. Thanks again to
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   the taxpayers of State of California.
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          He has utilized all the resources available to
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   improve himself. When he came here, he could not speak
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   English. He speaks English quite well. Of course, he
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   has the interpreter here today, but I was able to
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   communicate with him just fine, so he will be returning
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   to Mexico as a bilingual person, which is certainly a
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feather in his cap. He has marketable skills that he's acquired. He has taken numerous self-help groups and, most importantly, since coming to prison, he has discovered that he's an alcoholic and he has taken steps to address that problem.

So armed with all of that, he will be returning to Mexico hopefully where he has a loving family ready to accept him back there. He has land to work, farming, which is the type of work that he did prior to coming to the US. Really, he is all set to return. There's an AA group all set up for him to attend as well.

Now the inmate has been doing an excellent job here. He has been behaving himself very well. No 115s ever, which is highly unusual. That shows that he can behavior responsibility. That he certainly knows right from wrong. He really is a -- He is someone who can be counted upon to make the right choice. He is able to cope with problems as they occur. He has been able to do that quite well here in prison. Otherwise he would have gotten 115s, but no, he's been disciplinary free. So he's shown that he's able to cope with all the stress here in the institution and not resort to alcohol. And there is no reason to think that when he is returned to Mexico that he would once again revert to his prior irresponsible behavior. He's shown that he can be

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   responsible.
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          So, all in all, I think that his parole plans are
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   very viable. I think that he is well prepared to
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   reenter society, back to Mexico and that is where he
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   should be allowed to go and live the rest of his life
   rather than remain in here in prison. But I certainly
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 7
   do not think that a multi year denial is at all
   appropriate in this case. I think that would be a real
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9
   travesty. Thank you.
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          PRESIDING COMMISSIONER ENG: Okay. Mr. Romero,
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   do you choose to make a final statement regarding your
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   parole suitability to this Panel?
          INMATE ROMERO THROUGH INTERPRETER: I would only
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14
   want to ask you one thing. Give me another opportunity
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   and I will never disappoint you.
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          PRESIDING COMMISSIONER ENG: Okay. Okay, we'll
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   now recess for deliberations. The time is 1:57.
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CALIFORNIA BOARD OF PAROLE HEARINGS

DECISION

DEPUTY COMMISSIONER HERRON: We are on the record.

PRESIDING COMMISSIONER ENG: Okay. The time is 2:12 and all parties that were present prior to our recess have returned in the matter of Isedro Romero, R-O-M-E-R-O, CDC number D-07204, the Panel has reviewed all information received from the public and relied on the following circumstances in concluding that the prisoner is not suitable for parole and would pose an unreasonable risk of danger to society or a threat to public safety if released from prison.

The Panel finds that the commitment offense was carried out in a very cruel, very cold and callous manner, in that this inmate along with his friends, his crime partners, decided to go over to the victim, Mr. Gomez's house and basically crash a party. They ended up getting into an altercation whereby the inmate ended up stabbing to death the host of the party, who was unarmed.

It was carried out in a manner that demonstrated a very callous disregard for human suffering. The victim sustained anywhere from four to eight, we're not ISEDRO ROMERO D-07204 DECISION PAGE 1 3/7/07

exactly sure, but based on the Appellate decision I read, it stated eight. He was stabbed eight time. And that basically after this altercation, the inmate and his other crime partners just took off and left without any regard for whether or not Mr. Gomez was dead or alive.

The motive of the crime was extremely trivial in relation to the offense and, actually based on everything that we have read, this Panel does not understand what motivated, what the true motivation for Mr. Gomez losing his life that evening truly was. It appears that the inmate and his friends were going over to this party and they were trying to extricate one of his friend's girlfriend who didn't want to leave and somehow a brawl broke out. And this victim ended up being stabbed to death.

However the versions somewhat differ from what I really read out of the Statement of Facts. And again the conclusions are drawn from the Statement of Facts and where is on the -- in the early morning around one a.m. on January 23rd, 1982, Mr. Romero, along with Sergio Cruz, Arturo Cruz, and Mr. Gutierrez, and Mr. Jimenez, went over to the residence of Jose Gomez where a party was going on.

ISEDRO ROMERO D-07204 DECISION PAGE 2 3/7/07

And they, again, reportedly went over to the residence to pick up Sergio's girlfriend. But almost immediately upon their arrival at the party, a fight ensued between Jose and the inmate, Mr. Romero.

And according to a lot of the readings of the document that we have at our disposal, Romero was seen by witnesses at the party grabbing Jose's hair and pulling him forward and stabbing him in the chest area. It also goes on to state that six members of the group that arrived with Romero then left the area. Three left via a vehicle that they had arrived in and three left by foot. During this altercation, somehow Mr. Romero had sustained a stab wound in his left forearm.

Regarding a previous record, this inmate does have an escalating pattern of criminal conduct that led up to this life crime. And based on his prior record, he has failed previous grants of probation. He has failed to profit from society's previous attempts to correct his criminality. And these attempts do include adult probation.

And his prior criminality does include an arrest in 1978 in Garden Grove from drunk driving where he received probation. And then in 1981, he was arrested by the Orange Police Department for assault where he ISEDRO ROMERO D-07204 DECISION PAGE 3 3/7/07

received probation.

And regarding that assault, in the recent psychological evaluation, it does state that even though the inmate denies this, it states that he had threatened his ex-girlfriend with a knife. But again, the inmate does deny that happening.

But all this led up to that night or the early morning hours of January 23rd where this inmate who was in the habit of walking around with a knife on him, ended up pulling that knife out and murdering Mr. Gomez.

Regarding this inmate's institutional behavior, we find that his misconduct while incarcerated include two 128(a) counseling chronos, the last one being in August of '93 for a positive skin test.

The psychological report dated June of 2005 and authored by Dr. E. Lewis, L-E-W-I-S, this Panel finds it somewhat inconclusive in that they do state that if released to the outside community, Mr. Romero based static factors for increased risk -- increased violence potential when compared to the average member of the general population, that he is at increased risk because of the fact that he's a man, he's committed violence before, he's single, and he has a history of alcohol abuse. But they go on to basically state that he's ISEDRO ROMERO D-07204 DECISION PAGE 4 3/7/07

likely to lower his risk for violence just by aging 1 The fact that he's over 40 and several other 2 3 things. However, what's of great concern is that this 4 5 doctor does state that because of the established actuarial and clinical risk factors in this case, Mr. 6 7 Romero will never pose as low a risk as the average 8 member of the general population. And that according to 9 this particular psychologist, if Mr. Romero is released 10 back into the community, and if he were to remain free 11 of alcohol, he would pose a minimal risk of 12 dangerousness to others. 13 The minimal risk that he currently poses is 14 contingent upon Mr. Romero's commitment to lifelong 15 sobriety by continuing to attend Alcoholic's Anonymous 16 or participate in alternate alcohol recovery activities 17 in the United States or Mexico. And that they go on to 18 state the foremost risk factor if released in the community is again the potential for alcohol relapse. 19 20 And that there's a clear established link between Mr. 21 Romero's past consumption of alcohol and the commission 22 of violence. Were he to resume drinking, his violence 23 potential significantly increases. One of the alarming factor that this Panel sees 24 25 3/7/07 ISEDRO ROMERO D - 07204DECISION PAGE 5

is that coupled with what the psychological evaluation states that really focuses on your high risk should you ever return to substance abuse, one of the things that's of great concern was that during the hearing we did note, this Panel did note that it did not appear to us that your attendance in the substance abuse program was of the utmost priority in your life.

You stated that you had other things to do and a conflict in your schedule that prohibited you from attending some of your AA meetings. And especially when I specifically asked you what happened because you only attended once in the year 2000, twice in the year 2002, nothing in '01, once in '03, and nothing in '04, '05, and then you picked it back up.

So of great concern, sir, is that if this were the top priority in your life, that would never happen. You would fully understand that continued support in keeping you sober is the number one goal in your life to survive.

We do note that this inmate did submit some parole plans in his last country of legal residence in Mexico. It was a 2005 letter, and the Panel does understand it's difficult to get the letters current especially after one year and then translated. But he ISEDRO ROMERO D-07204 DECISION PAGE 6 3/7/07

1 did provide some documentation referring to his parents 2 and his father specifically in the farm land that he 3 does own and the support that he was offering. 4 However, we're not sure about acceptable. 5 employment plans back in Mexico except that what the 6 inmate stated that he would go back to farming. However, again, the caveat, what leaves questions in 7 8 this Panel's mind about this is what you had before when you chose to live initially and come to the United 9 10 States and now you're going back to that. And the same thing with there's some discomfort 11 12 in this Panel knowing that what you had admitted that 13 the culture that you grew up with the fact that your father was a heavy drinker and may be an alcoholic and 14 15 that the culture that's surrounding and the way you grew 16 up was that everybody drinks. 17 So it is of grave concern that you would be 18 returning back to that same culture of drinking when you 19 admitted that when you drink and you get drunk you do 20 get violent. Okay. 21 Well, we do note that the District Attorney's 22 Office of Orange County, the representative was present 23 and stated their opposition to parole at this time. 24 What did I do with that letter? We also note that we 25 ISEDRO ROMERO D-07204 DECISION PAGE 7 3/7/07

had a response to the 3042 notices that the city of

Anaheim Police Department also stated in writing their
opposition to parole at this time.

The Panel makes the following findings: That the

The Panel makes the following findings: That the prisoner does need to continue with all types of documented self-help in order to face, discuss, understand, and cope with stress in a nondestructive manner. Until progress is made, the prisoner continues to be unpredictable and a threat to others.

And sir, it's not just stress. It's really do whatever you need to do to get a better understanding and to be able to communicate with a future Panel as to what brought you to make the decisions that you did that evening. *There's a lot of questions and we ended up leaving here with more questions than what we have answers for. And that to understand what functional alcoholism is. It appears that you have a very high tolerance for a certain level of alcohol. And we really question whether or not, how inebriated you really were during the life crime, okay.

So basically, sir, we're giving you another one year denial. And I highly recommend that you use that time wisely to get additional self-help, to get a better understanding of why you did the things you did. We ISEDRO ROMERO D-07204 DECISION PAGE 8 3/7/07

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recommend that you remain disciplinary free, and that if
    available, you continue to upgrade vocationally and
    educationally, and also participate in self-help. And
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    update those support letters, okay?
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           That concludes the reading of -- the hearing.
    Any comments, Commissioner.
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           DEPUTY COMMISSIONER HERRON: No, Ma'am.
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           PRESIDING COMMISSIONER ENG: Okay, the time is
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    2:25, thank you.
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    PAROLE DENIED ONE YEAR
                                         JUL 0 5 2007
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    THIS DECISION WILL BE FINAL ON:
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   YOU WILL BE PROMPTLY NOTIFIED IF, PRIOR TO THAT
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   DATE, THE DECISION IS MODIFIED.
25
                     D-07204 DECISION PAGE 9
                                                        3/7/07
    ISEDRO ROMERO
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CERTIFICATE AND

DECLARATION OF TRANSCRIBER

	I,	SANDRA	TILLMAN,	as	the	Offici	ial	Trans	scriber,
hereby	cer	ctify th	at the a	ttad	ched	procee	edir	ngs:	·
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SAN QUENTIN STATE PRISON

SAN QUENTIN, CALIFORNIA

MARCH 7, 2007

12:14 P.M.

were held as herein appears. Further, this transcript is a true, complete, and accurate record, to the best of my ability, of the recorded material provided for transcription.

Sandra Tillman April 25, 2007

Capitol Electronic Reporting

EXHIBIT

"B"

96 SANTA ANA. CALIFORNIA - THURSDAY, JULY 8, 1982 1 2 MORNING SESSION (THE FOLLOWING PROCEEDINGS WERE HAD IN OPEN 3 COURT IN THE PRESENCE OF THE JURY:) MR. GOETHALS: WITH THE COURT'S PERMISSION, WE HAVE 5 AGREED TO INTERRUPT THE PRESENT WITNESS FOR ANOTHER WITNESS 6 7 TO TESTIFY VERY BRIEFLY. THE COURT: THAT'S FINE. CALL THAT WITNESS. 8 MR. GOETHALS: PEOPLE CALL DR. ROBERT RICHARDS. 10 ROBERT GEORGE RICHARDS, CALLED AS A WITNESS BY THE PEOPLE, WAS DULY SWORN AND 11 12 TESTIFIED AS FOLLOWS: 13 THE CLERK: DO YOU SOLEMNLY SWEAR THAT THE TESTIMONY YOU ARE ABOUT TO GIVE IN THE CASE NOW PENDING BEFORE THIS 14 COURT SHALL BE THE TRUTH, THE WHOLE TRUTH, AND NOTHING BUT 15 16 THE TRUTH SO HELP YOU GOD? - 17 THE WITNESS: I DO. 18 THE CLERK: STATE YOUR FULL NAME AND SPELL YOUR 19 LAST NAME. 20 THE WITNESS: ROBERT GEORGE RICHARDS, 21 R-I-C-H-A-R-D-S. 22 DIRECT EXAMINATION 23 BY MR. GOETHALS: YOU'RE A MEDICAL DOCTOR; 24 IS THAT CORRECT, SIR? 25 A YES. 26 Q HOW LONG HAVE YOU BEEN AN M.D.?

97 SINCE 1948. 1 WOULD YOU DESCRIBE YOUR MEDICAL BACKGROUND AND 2 3 EXPERIENCE? A FOUR YEARS OF MEDICAL SCHOOL, FOUR YEARS 4 OF RESIDENCY. AND MY PRACTICE IS LIMITED TO PATHOLOGY. 5 I'M LICENSED IN THE STATE OF CALIFORNIA AND 6 I'M CERTIFIED IN CLINICAL ANATOMIC AND FORENSIC --7 8 MR. KIES: I WILL STIPULATE TO HIS QUALIFICATIONS. 9 THE COURT: ACCEPTABLE? 10 MR. GOETHALS: YES. THE COURT: YOU WILL CONSIDER DR. RICHARDS AN EXPERT 11 12 IN ALL AREAS IN WHICH HE TESTIFIES. 13 ASK YOUR NEXT QUESTION. 14 BY MR. GOETHALS: WORKING AS A PATHOLOGIST, 15 ARE YOU CURRENTLY UNDER CONTRACT WITH THE COUNTY OF 16 ORANGE TO DO AUTOPSIES? 17 YES, I'VE BEEN DOING THAT FOR 25 YEARS. 18 DIRECTING YOUR ATTENTION TO JANUARY 30, 1982 19 AT APPROXIMATELY 11:15 IN THE MORNING, DID YOU HAVE OCCASION 20 TO PERFORM AN AUTOPSY ON A DECEASED IDENTIFIED AS JUSE 21 GOMEZ? 22 YES, I DID. Α 23 WHERE DID YOU PERFORM THAT AUTOPSY? 24 AT THE NEW FACILITY OVER HERE. THE ONE ADJACENT TO THE SHERIFF'S OFFICE AND 25 26 JAIL?

98 YES. 1 MR. GOETHALS: MAY I APPROACH THE WITNESS, YOUR 2 HONOR? 3 THE COURT: YES. WE MAY AS WELL DISPENSE WITH THE 4 REQUEST TO APPROACH THE WITNESS, CLERK, AND THE BOARD, 5 SINCE NOBODY COMPLIES WITH IT ANYWAY -- LITTLE HUMOR, 6 VERY LITTLE. 7 MR. KIES: MAY WE APPROACH? I BELIEVE WE HAVE A 8 STIPULATION, YOUR HONOR. 9 THE COURT: FRAME IT. 10 MR. KIES: THAT THE -- IT WOULD BE STIPULATED THAT 11 IF A RELATIVE -- WELL, THE BASIC STIPULATION IS, YOUR HONOR. 12 IS THAT JOSE GOMEZ THAT DR. RICHARDS DID THE AUTOPSY ON 13 IS THE SAME JOSE GOMEZ WHO WAS IDENTIFIED AS BEING IN THE 14 FIGHTING ON JANUARY 22, 1982 OUTSIDE OF 431 KODIAK. 15 THE COURT: AND THAT, OF COURSE, HE WAS A LIVE 16 HUMAN BEING PRIOR TO DR. RICHARDS' OBSERVING HIM DURING 17 THE AUTOPSY? 18 MR. KIES: THAT'S CORRECT. 19 THE COURT: SO STIPULATED? 20 21 MR. GOETHALS: YES, YOUR HONOR. THE COURT: THAT STIPULATION IS ENTERED. 22 LADIES AND GENTLEMEN, THAT FACT IS 23 - CONCLUSIVELY PROVED, THAT IT IS THE SAME INDIVIDUAL. 24 BY MR. GOETHALS: DR. RICHARDS, DURING THE 25

COURSE OF YOUR AUTOPSY ON JOSE GOMEZ, DID YOU FIND ANY

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WOUNDS	UN:	HIS	BODY?
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THERE WAS A FATAL STAB WOUND IN THE LEFT CHEST THAT PENETRATED THE HEART.

THERE WAS A STAB WOUND IN THE RIGHT CHEST THAT DID NOT PENETRATE BEYOND THE BONE OF THE CHEST.

THERE WAS A STAB WOUND IN THE HIP, RIGHT HIP, THAT WAS ABOUT TWO AND A HALF INCHES DEEP.

AND THERE WAS A VERY SUPERFICIAL SLICE TO THE LEFT UPPER ARM.

O OF THE FOUR WOUNDS THAT YOU MENTIONED, FROM WHAT YOU'VE MENTIONED, I WOULD ASSUME THAT IT WAS THE LEFT CHEST WOUND THAT WAS THE MOST SERIOUS; IS THAT CORRECT?

> THAT WAS THE FATAL ONE, YES. Α

COULD YOU EXPLAIN TO THE JURY WHY THAT PARTICULAR WOUND WAS A FATAL WOUND?

> Α IT PENETRATED THE HEART.

SO IT PUNCTURED THE HEART? Q

Α YES.

HOW DID THAT CAUSE DEATH?

COULD BE LOSS OF BLOOD, PRIMARILY. Α

THE BLEEDING INTO THE PERICARDIAC SAC --THE HEART IS IN THE SAC AND FILLING THAT SAC WITH BLOOD WOULD CERTAINLY MAKE IT A VERY POOR PUMP. THAT IS, IT WOULD NOT BE ABLE TO EXPAND AND TO TAKE BLOOD IN OR PUMP BLOOD OUT EFFECTIVELY.

FROM WHAT YOU'VE SAID, AND FROM ANY BASIC

100 UNDERSTANDING, I WOULD ASSUME IT'S A FAIRLY SERIOUS WOUND 1 AS SOON AS IT'S ADMINISTERED OR INFLICTED; IS THAT TRUE? 2 YES, IT'S FATAL FOR ALL INTENTS AND 3 PURPOSES. IS IT POSSIBLE THAT A PERSON WHO HAS BEEN 5 STABBED IN THE MANNER THAT YOU FOUND THAT OCCURRED TO 6 JOSE GOMEZ, IS IT POSSIBLE THAT SUCH A PERSON COULD REMAIN 7 UPRIGHT AND WALK AROUND FOR SOME PERIOD OF TIME AFTER 8 RECEIVING THAT TYPE OF WOUND? 9 IT'S POSSIBLE, YES. 10 I'VE SEEN CASES THAT WERE UP AND ACTIVE FOR 11 POSSIBLY TEN SECONDS, 12 SECONDS. 12 WHEN YOU DID THE AUTOPSY ON JOSE GOMEZ DID 13 YOU SEE ANY INDICATION OF ANY RECENT MEDICAL TREATMENT OR 14 SURGERY? 15 · . A YES. THERE WAS QUITE A BIT. 16 COULD YOU DISTINGUISH BETWEEN YOUR AUTOPSY, 17 THE SURGICAL INCISIONS, FROM WHAT YOU DESCRIBED AS THE 18 STAB WOUNDS? 19 Α YES. 20 WAS THERE ANYTHING IN PARTICULAR THAT ALLOWED 21 YOU TO TELL ONE FROM THE OTHER? 22 MOST OF THE SURGERIES WERE SUTURED OR 23 CONTAINED DRAINS, YOU KNOW, THAT YOU WOULD ANTICIPATE THEN 24 WERE FOR THE BENEFIT OF THE INDIVIDUAL AND WERE SURGICALLY 25 INDUCED. 26

101 1 SO THE FOUR WOUNDS YOU'VE TALKED ABOUT WITH 2 US WERE STAB WOUNDS RATHER THAN SURGICAL INCISIONS; IS 3 THAT RIGHT? THAT'S RIGHT. 5 MR. GOETHALS: THANK YOU. I DON'T HAVE ANY 6 FURTHER QUESTIONS. -- OH, EXCUSE ME, YOUR HONOR. 7 WE HAVE ENTERED INTO ANOTHER STIPULATION, I BELIEVE. 8 AND, THAT IS, DURING THE COURSE OF 9 DR. RICHARDS' AUTOPSY, BLOOD WAS REMOVED FROM THE DECEASED 10 JOSE GOMEZ, AND THAT IT WAS SUBSEQUENTLY EXAMINED BY A 11 PERSON QUALIFIED TO DO THAT, THAT THE BLOOD ALCOHOL LEVEL 12 WAS FOUND TO BE .12; 13 ADDITIONALLY SCREENED FOR DRUGS AND NO DRUGS 14 FOUND IN MR. GOMEZ'S SYSTEM. 15 THE COURT: SO STIPULATED? 16 MR. KIES: YES, YOUR HONOR. 17 COULD I HAVE A DISCUSSION WITH THE 18 DISTRICT ATTORNEY FOR ONE BRIEF MOMENT? 19 THE COURT: YES. GO AHEAD. 20 (DISCUSSION BETWEEN COUNSEL, OFF THE RECORD.) 21 MR. GOETHALS: YOUR HONOR, COULD I APPROACH THE 22 WITNESS AND ASK HIM A QUESTION, JUST BETWEEN THE TWO OF US, 23 FOR THE PURPOSE OF CLARIFYING THE STIPULATION? 24 MR. KIES: AND --25 THE COURT: YES. 26 MR. KIES: -- THAT'S AT MY REQUEST.

102 (DISCUSSION BETWEEN THE DISTRICT ATTORNEY AND 1 THE WITNESS, OFF THE RECORD.) 2 3 MR. GOETHALS: THANK YOU, YOUR HONOR. (DISCUSSION BETWEEN COUNSEL, OFF THE RECORD.) 4 MR. GOETHALS: YOUR HONOR, I'D LIKE TO ALTER THE 5 . 6 STIPULATION SLIGHTLY, IF I COULD: THE STIPULATION WOULD BE AS FOLLOWS: 7 BLOOD WAS DRAWN FROM JOSE GOMEZ WHEN HE WAS 8 9 TAKEN TO THE HOSPITAL BY THE PARAMEDICS SHORTLY AFTER THE 10 TIME HE WAS STABBED, AND THAT BLOOD WAS ANALYZED AND FOUND 11 TO CONTAIN .12 PERCENT ALCOHOL AND NO DRUGS. 12 MR. KIES: SO STIPULATED. 13 THE COURT: THAT STIPULATION IS ENTERED AND IT IS 14 CONCLUSIVELY PROVED. MR. GOETHALS: THANK YOU, YOUR HONOR. NO FURTHER 15 16 OUESTIONS. 17 THE COURT: YOU MAY CROSS-EXAMINE. 18 CROSS-EXAMINATION 19 BY MR. KIES: WERE YOU ABLE TO TELL WHETHER 20 THE STAB WOUND ON THE HIP WAS INFLICTED FIRST, AS OPPOSED 21 TO THE STAB WOUNDS IN THE CHEST AREA, OR VICE VERSA? 22 A NO, I WOULDN'T BE ABLE TO TELL THAT. 23 NOW, YOU'VE LIMITED THE ACTIVE PERIOD THAT 24 YOU BELIEVE IT'S POSSIBLE FOR A PERSON RECEIVING SUCH A 25 WOUND TO TEN OR 12 SECONDS. 26 WOULD YOU BELIEVE IT POSSIBLE FOR SUCH A

103 PERSON TO, IN A SENSE, REMAIN IN COMBAT; IN OTHER WORDS. 1 2 MOVE TO DEFEND SOMEONE FOR, LET'S SAY, A PERIOD OF HALF A 3 MINUTE? I THINK THE BEST WAY TO ANSWER THAT IS THAT 4 A YEAR AGO I USED TO FEEL THAT IF YOU HAD A HEART INJURY 5 YOU FELL LIKE A STONE, NO MORE ACTIVITY. 6 HOWEVER, DURING THAT PERIOD OF TIME CERTAIN 7 CASES HAVE OCCURRED THAT HAD TIME DURATION, THAT IS, FATAL 8 HEART INJURY STAB WOUNDS, GUNSHOT, THAT HAD AS MUCH AS 9 10 OR 12 SECONDS. THEREFORE, I FEEL IT'S REASONABLE TO 10 ASSUME THAT ON AN OCCASION THIS CAN OCCUR. 11 I DON'T RECALL EVER HAVING ONE, YOU KNOW, FOR 12 30 SECONDS. 13 WOULD THE AGE AND THE HEALTH OF THE PERSON 14 RECEIVING THE WOUND BE A FACTOR IN DETERMINING HOW SUCH A 15 PERSON COULD REMAIN ACTIVE? 16 YES, AND POSSIBLY WILL WOULD ALSO BE A 17 FACTOR. 18 SO IF THE INJURED PERSON WITH AN INJURY IN 19 THE HEART HAD BEEN STIMULATED, LET'S SAY, VERY STRONGLY 20 STIMULATED IN COMBAT OF SOME SORT, IT WOULD BE MORE LIKELY 21 THAT THAT PERSON COULD REMAIN ACTIVE FOR A LONGER PERIOD? 22 23 IT'S POSSIBLE. WERE YOU ABLE TO DETERMINE WHETHER THERE WAS 24 25 ANY OTHER PRE-EXISTING BRUISING ON THE BODY OF MR. GOMEZ?

MR. GOETHALS: I'D OBJECT. IT'S VAGUE AS TO WHAT

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1 CONSTITUTES PRE-EXISTING.

THE COURT: SUSTAINED.

Q BY MR. KIES: WELL, CALL IT BRUISING CAUSED BY STRIKING OF SOME SORT, OTHER THAN BRUISING CAUSED BY HOSPITAL PROCEDURES, MEDICAL PROCEDURES.

A THERE WAS A BRUISE ON THE UPPER LIP AND A CRUSTING, HEALING TYPE OF PROCESS ON THE LOWER LIP.

Q DID YOU NOTICE ANY OTHER, LET'S SAY, MINOR ABRASIONS OR CUTS?

A J'D HAVE TO REFRESH MY MEMORY.

Q PLEASE DO, DOCTOR, OTHER THAN WHAT MAY HAVE BEEN CAUSED BY MEDICAL PROCEDURES.

A I HAVE NO RECORDS. PERHAPS THE PHOTOGRAPHS MIGHT SHOW MORE.

VERY LITTLE BLOOD ON THE OUTSIDE OF THE BODY OF THIS

MR. GOMEZ, AT LEAST INITIALLY WHILE HE WAS STILL, LET'S

SAY, MOVING, WOULD THAT INDICATE TO YOU THAT POSSIBLY

BLOOD PRESSURE WAS BEING MAINTAINED IN MR. GOMEZ'S SYSTEM

BY EITHER A SLOWER LEAKAGE THROUGH THE HEART OR BY THE

HEART STABBING -- WOULD THAT MAINTAIN PRESSURE FOR A PERIOD

OF TIME?

A IT WOULD -- THERE WOULDN'T BE ANYTHING IN THE PROCESS THAT WOULD GO ON THAT WOULD AID OR ABET BLOOD PRESSURE.

THE STAB WOUND EXTENDED FROM THE -- RIGHT
THROUGH THE SEPTUM INTO THE LEFT HEART, SO THE PRESSURE
THAT YOU'RE REALLY WORKING UNDER WOULD BE NORMAL BLOOD
PRESSURE, THAT IS, YOU KNOW, SAY 120 OVER 80 OR SOMETHING
OF THAT SORT, AND THAT'S EXPRESSED IN MILLIMETERS OF
MERCURY.

IT'S A GOOD HEAD OF PRESSURE SO THAT THAT BLOOD UNDER THAT PRESSURE WOULD BE LEAKING OUT.

OF COURSE, FIRST IT WOULD FILL UP THE SAC
WHICH PROBABLY WOULDN'T TAKE A VERY LONG PERIOD OF TIME.

I THINK THE ONLY REASON THAT YOU PROBABLY WOULDN'T GET
BLOOD SHOWN RIGHT AWAY WOULD BE THAT A STAB WOUND WOULD
CLOSE, TEND TO APPROXIMATE THE EDGES, AND MIGHT RETAIN THE
BLOOD INSIDE FOR A PERIOD OF TIME.

Q WELL, THE BASIC CAUSE OF DEATH IS THAT

ULTIMATELY THE BLOOD CIRCULATION SYSTEM RUNS OUT OF BLOOD;

IS THAT CORRECT?

A YES. IT'S THE BLEEDING, LOSS OF CIRCULATING VOLUME AND, AS I MENTIONED BEFORE, IT WOULD ALSO -- EXCUSE ME -- ALSO BE THE LOSS OF FUNCTION TO THE HEART BY FILLING THE PERICARDIAL SAC WITH BLOOD;

IN OTHER WORDS, IT'S LIKE YOUR ARMS. YOU
HAVE PRETTY STRONG FLEXERS BUT WEAKER EXTENDERS, SO THAT
THE HEART HAS VERY GOOD CONTRACTING MUSCLE, BUT IT DOESN'T
HAVE ANYTHING TO EXPAND IT.

THAT'S DONE BY GRAVITY SO AS THE HEART SAC

FILLS UP IT WOULD PUSH THE HEART MORE AND MORE, IT WOULD 1 2 CONTRACT LESS, IT WOULD CONTRACT POORER. 3 LET'S SAY THE TRAUMA TO THE HEART ITSELF 4 THAT CAUSES THE HEART TO STOP; IN OTHER WORDS, THE HEART, 5 IN A SENSE, KEEPS ON BEATING BUT IN A WEAKER MANNER. THE CONDUCTION SYSTEM COULD BE INVOLVED AND, 7 AS SUCH. THERE MIGHT BE A FACTOR. 8 THE STAB WOUND WENT THROUGH THE SEPTUM AND 9 THE SEPTUM IS WHERE THE CONDUCTION SYSTEM FLOWS, IN OTHER 10 WORDS, THE ELECTRICAL IMPULSE TO THE HEART WOULD BE IN 11 THAT REGION. 12 BUT IF THAT OCCURRED YOU WOULD EXPECT THAT 13 THE DECEASED WOULD IMMEDIATELY FALL ON HIS FACE IF THE 14 HEART STOPPED IMMEDIATELY? 15 A YES, I WOULD. 16 MR. KIES: ALL RIGHT. I HAVE NOTHING FURTHER. 17 THE COURT: ANY REDIRECT? 18 MR. GOETHALS: YES, YOUR HONOR. 19 REDIRECT EXAMINATION 20 BY MR. GOETHALS: DOCTOR, YOU SAY IN RESPONSE 21 TO COUNSEL'S QUESTION, I THINK THAT AT ONE TIME IN YOUR 22 MEDICAL CAREER YOU FELT THAT IF SOMEONE RECEIVED A FATAL 23 HEART, WOUND THEY SHOULD FALL LIKE A STONE; IS THAT RIGHT? 24 А YES. 25 DURING THE COURSE OF YOUR CAREER, HAVE YOU 26

SEEN THINGS OR HAD DISCUSSIONS WITH PEOPLE CONCERNING PEOPLE

 WHO ARE DECEASED THAT YOU PERFORMED AUTOPSIES THAT HAVE CAUSED YOU TO CHANGE THAT OPINION?

A YES.

Q CAN YOU GIVE AN EXAMPLE OF THE TYPE OF THING THAT YOU HAVE EXPERIENCED THAT'S CAUSED YOU TO CHANGE YOUR OPINION?

A WELL, THERE WAS A PAWN SHOP HOLD-UP AND THE OWNER SHOT THE ROBBER AT THE DOOR AND, OF COURSE, THE ROBBER SHOT THE OWNER. HE FELL DOWN BEHIND THE CABINET.

AND THE ASSAILANT MADE IT A DISTANCE OF

10 OR 12 FEET FROM THE DOOR TO THE CABINET AND WAS, YOU

KNOW, TRYING TO FINISH OFF THE OWNER WHEN HE DIED, WHICH

AT THAT TIME I FELT WAS, YOU KNOW, IMPOSSIBLE PRACTICALLY.

AND THEN THERE WAS ANOTHER INSTANCE WHERE IT WAS WITNESSED THAT THIS MAN WAS SHOT IN THE MIDDLE OF THE BLOCK AND HE RAN THE BALANCE OF THE BLOCK, ACROSS THE STREET, AND COLLAPSED IN A PARKING LOT, WHICH WAS A DISTANCE OF MORE THAN A HUNDRED YARDS. SO HE MUST HAVE BEEN A VERY GOOD RUNNER AND THAT WOULD BE, YOU KNOW, 10, 12 SECONDS, PROBABLY, WHICH WOULD BE THE MAXIMUM TIME THAT I'M REALLY AWARE OF.

Q AND HAD BOTH OF THOSE PEOPLE THAT YOU'RE TALKING ABOUT RECEIVED WOUNDS DIRECTLY TO THE HEART?

A THEY WERE BOTH WOUNDS TO THE HEART.

Q THE TYPE THAT YOU HAD PREVIOUSLY EXPECTED A PERSON TO FALL LIKE A STONE?

108 THAT'S CORRECT. 1 2 IN THIS CASE WHEN YOU DID THE AUTOPSY ON 3 JOSE GOMEZ, COULD YOU CHARACTERIZE FOR THE JURY WHAT HIS 4 HEALTH WAS EXCEPT FOR THE STAB WOUNDS; IN OTHER WORDS, DID 5 YOU FIND THAT HE HAD ANY OTHER MEDICAL PROBLEM OR WAS HE 6 IN GOOD HEALTH? 7 A HE WAS IN GOOD HEALTH. 8 MR. GOETHALS: THANK YOU. I DON'T HAVE ANY 9 FURTHER QUESTIONS. 10 THE COURT: RECROSS? 11 RECROSS-EXAMINATION 12 BY MR. KIES: DR. RICHARDS, THERE IS A 13 SUBSTANTIAL DIFFERENCE BETWEEN A WOUND CAUSED BY A BULLET 14 AS OPPOSED TO A WOUND CAUSED BY A SHARP KNIFE; IS THAT 15 CORRECT? 16 A I THINK YOU CAN IDENTIFY THE DIFFERENCE. 17 I DON'T UNDERSTAND WHAT --18 WELL, A BULLET CAUSES SEVERE TRAUMA NOT ONLY 19 AS FAR AS THE HOLE GOING IN, BUT IT ALSO, TO A WIDER AREA, 20 BESIDES THE, CALL IT THE CIRCUMFERENCE OF THE BULLET, 21 BECAUSE OF COMPACTION OF THE MEMBRANES? 22 Α YES. 23 NOW, FOR EXAMPLE, IN THIS PARTICULAR CASE 24 WE HAVE A KNIFE WOUND; IS THAT CORRECT? - 25 Α YES. 26 FROM LOOKING AT THE WOUND, I BELIEVE IT --

109 WAS IT YOUR MEDICAL DECISION THAT THIS WAS A SHARP KNIFE? 1 YES. 2 3 0 ALL RIGHT. AND THUS THE WOUND WAS A VERY, ONE, A VERY 4 5 NARROW WOUND AS FAR AS WIDTH? Α. YES. 6 AND A VERY CLEAN WOUND AS FAR AS THE AREA? 7 OR, LET'S SAY, A VERY SMALL WOUND AS FAR AS THE AREA IN 8 WHICH IT CAUSED IMMEDIATE DAMAGE? A COMPARED TO A BULLET, YES. 10 IN FACT, A BULLET WOULD HAVE CAUSED A TRAUMA 11 TO THAT PARTICULAR AREA, LET'S SAY, AS FAR AS AREA GOES, 12 13 A HUNDREDFOLD WORSE? I DON'T KNOW IF I CAN GO THAT FAR. 14 OF COURSE, THE INJURY THAT THE BULLET WOULD 15 PRODUCE WOULD DEPEND ON THE VELOCITY AND THE TYPE OF SLUG. 16 I THINK THE ONLY THING THAT THEY WOULD SHARE, 17 THAT IS, THE SLOW, SMALL SLUG OR A FAST, LARGER SLUG, WOULD 18 BE WHAT APPEARS TO BE AN ERUPTION. 19 20 WELL, IT'S LIKE WHEN YOU POP A BALLOON OR A 21 BALLOON FULL OF WATER, YOU HAVE THE MOVEMENT OF THE BULLET 22 -AND THEN YOU HAVE THE EXPANSIVE MOVEMENT OF THE FLUID AS 23 WELL THAT PRODUCES A GREATER TEARING. 24 AND THIS DOES NOT OCCUR WHEN YOU HAVE A SHARP 25 KNIFE INVOLVED? 26 THAT'S TRUE. Α

Q WERE YOU ABLE TO DETERMINE THE MEASUREMENTS
OF THE WOUND AT THE ENTRY POINT IN THE HEART?
A MAY I?
Q YES, PLEASE.
A THE REPORT HAS NO MEASUREMENT.
AS I RECALL, THEY WERE ABOUT A HALF AN INCH.
THEY WERE SMALL.
Q HALF AN INCH IN LENGTH, FOR EXAMPLE?
A YES.
Q WHATEVER YOU WANT TO CALL IT, LIKE, SAY, A
SIXTEENTH OF AN INCH IN WIDTH? IN OTHER WORDS, WHAT I'M
TRYING TO DIFFERENTIATE, WHAT IS BETWEEN LIKEA CIRCULAR
WOUND AND LIKE A VERY FLAT TYPE WOUND.
NOW, IT WOULD HAVE BEEN A VERY FLAT TYPE WOUND
· A YES, IT WOULD.
Q NOW, THE HEART IS ALL MUSCLE AND THERE WOULD
BE A TENDENCY FOR THE HEART TO CLOSE AROUND A WOUND ONCE
THIS OBJECT, LET'S SAY THE KNIFE, WAS WITHDRAWN?
A IN THE CONTRACTING PHASE, YES, IT WOULD.
Q AS THE HEART CONTRACTS, IT WOULD DEFINITELY
TEND TO CLOSE OFF THAT WOUND TO THE BEST OF ITS ABILITY?
A YES.
Q FOR EXAMPLE, THERE WOULD BE A MAJOR DIFFERENCE
BETWEEN A WOUND IN THE HEART IN THAT RESPECT, OR A WOUND IN
THE ARTERY IN WHICH THE ARTERY DOES NOT HAVE THE SAME
MUSCULAR STRUCTURE AS THE HEART DOES?

111 THAT'S TRUE. 1 NOW, WHEN GIVING EXAMPLES, HAVE YOU HAD ANY 2 EXPERIENCE WITH PERSONS WHO HAVE BEEN STABBED IN THE HEART 3 AND HAVE REMAINED ACTIVE? 4 WE HAD ONE HERE RECENTLY WHERE THE VICTIM WAS 5 STABBED IN THE HEART IN THE MIDDLE OF THE STREET, LEFT 6 7 TURN LANE, AND HE WALKED ACROSS THE BALANCE OF THE STREET 8 AND COLLAPSED ON THE SIDE, 9 AND DO YOU HAVE A RECOLLECTION AS TO HOW 10 LONG THAT PERSON REMAINED ACTIVE? NO. NO, IT WOULD BE JUST THE TIME IT WOULD 11 12 TAKE TO CROSS HALF THE STREET. - 13 AND IS THAT THE ONLY EXAMPLE THAT YOU 14 PERSONALLY KNOW OF OR HAVE READ ABOUT WHERE A PERSON HAS MAINTAINED HIMSELF ACTIVE FOR A PERIOD OF TIME WITH A STAB 15 16 WOUND IN THE HEART? 17 THAT'S THE ONLY ONE I CAN RECALL OFFHAND. 18 IF YOU WERE INFORMED BY AN ALLEGED EYEWITNESS 19 THAT THE VICTIM -- STRIKE THAT -- NOT VICTIM -- THAT THE 20 INJURED PERSON WITH THE STAB WOUND, MAINTAINED HIMSELF 21 ACTIVE TO THE POINT OF ACTUALLY GOING TO THE DEFENSE OF 22 ANOTHER PERSON FOR A RELATIVELY SHORT PERIOD OF TIME, 23 BUT A PERIOD OF TIME AND THEN WALKED ACROSS THE STREET, 24 WOULD YOU CONSIDER THAT AN EXCEPTION TO YOUR BASIC EARLIER 25 PREMISE, THAT IS, THAT A PERSON FALLS LIKE A STONE ONCE 26

STABBED?

A I'VE ALREADY SAID THAT I MODIFIED THAT WITH EXPERIENCE AND THAT THAT EXPERIENCE WOULD EXTEND TO, YOU KNOW, 10, 12 SECONDS, MAYBE 15 SECONDS.

EXPERIENCE DOESN'T SAY IT CAN GO BEYOND THAT,
BUT I SEE NO REASON WHY IT COULDN'T GO BEYOND THAT IF THE
WOUND WERE SUCH AND THE HEALTH OF THE INDIVIDUAL WAS SUCH
AND THE WILL WERE SUCH THAT THE INDIVIDUAL COULD USE THAT
LAST EFFORT.

MR. KIES: ALL RIGHT. FAIR ENOUGH. THANK YOU VERY MUCH, DOCTOR.

THE COURT: MR. GOETHALS?

MR. GOETHALS: COUPLE MORE, YOUR HONOR. SORRY.

FURTHER REDIRECT EXAMINATION

Q BY MR. GOETHALS: DOCTOR, IN DESCRIBING THE WOUND THAT YOU SAW IN THE CHEST AREA, WOULD YOU SAY THAT THOSE WOUNDS AS YOU SAW THEM, AND AS YOU'VE DESCRIBED THEM, AND AS YOU RECALL THEM, WERE CONSISTENT WITH A THRUSTING TYPE MOTION INTO THE CHEST WITH A KNIFE?

A YES.

Q A QUICK THRUSTING AND THEN PULLING THE KNIFE RIGHT OUT, THAT TYPE OF WOUND, RIGHT?

A YES.

Q RATHER THAN STICKING THE KNIFE IN AND TWISTING IT AROUND OR ANYTHING LIKE THAT; IS THAT CORRECT?

A WELL, THEY WOULD BE JUST DIRECT STAB WOUNDS,
THAT IS, THE ONE TO THE LEFT CHEST, THE FATAL ONE, THERE WAS

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NOTHING IN THE WAY OF TWISTING OR TURNING. THE ONE TO THE RIGHT CHEST IS SO SUPERFICIAL THAT THERE'S VERY LITTLE DIFFERENCE BETWEEN THE SKIN AND THE BREASTPLATE, MAYBE A HALF INCH, SO THERE REALLY WOULDN'T BE ANY INDICATION OR POSSIBLE INDICATION OF TWISTING OR TURNING ON THAT.

THE ONE IN THE HIP STOPPED AT THE BONE. SO, AGAIN, THERE WASN'T ANY INDICATION THERE OF ANY TWISTING OR TURNING.

JUST SO WE'RE ALL CLEAR ON ONE AREA, YOU JUST HAD A DISCUSSION WITH MR. KIES ABOUT BULLET WOUNDS VERSUS STAB WOUNDS, RIGHT, AND THE DIFFERENCE IN HOW THEY MIGHT AFFECT SOMEONE, RIGHT?

> YES. Α

WOULD YOU EXPECT THE PERSON WITH THE TYPE OF STAB WOUND THAT YOU SAW IN THIS CASE TO BE ABLE TO REMAIN ACTIVE LONGER OR SHORTER THAN A PERSON WHO, SAY, WAS SHOT IN THE SAME PLACE WITH A .38? CAN YOU SAY?

POSSIBLY LONGER FOR THE REASONS OUTLINED. THAT THE WOUND WOULD BE NARROWER, WOULD BE A BETTER OPPORTUNITY TO CLOSE THE WOUND ANATOMICALLY, WHEREAS A HOLE, AND USUALLY A BULLET WOUND, WILL HAVE SOME DEGREE OF TEARING. THE HEART WOULD NOT BE ABLE TO CLOSE INJURIES LIKE THAT AS WELL AS A STAB WOUND, SO THEY COULD BE ACTIVE LONGER.

SO WHEN YOU DESCRIBED THE PREVIOUS EXAMPLES YOU COULD OF THE PAWN SHOP ROBBER SHOT IN THE HEART OR THE

114 1 OTHER MAN WHO WAS SHOT IN THE HEART AND THEN RAN A HUNDRED 2 YARDS BEFORE HE COLLAPSED. IS THERE ANY REASON WHY A 3 STAB WOUND VICTIM WOULD NOT FALL WITHIN THOSE TYPE OF SITUATIONS? 5 DO YOU UNDERSTAND THAT QUESTION? I'M NOT SURE I DO. 7 LET ME CLARIFY, IF I CAN. 8 YOU USE THOSE TWO EXAMPLES AS EXAMPLES THAT 9 CAUSED YOU TO CHANGE YOUR OPINION AS TO WHETHER OR NOT 10 SOMEONE WITH A HEART INJURY WOULD FALL RIGHT OVER LIKE A 11 STONE, RIGHT? 12 A YES. 13 DO YOU FEEL THAT THOSE EXAMPLES ARE 14 INAPPLICABLE TO YOUR OPINION CONCERNING SOMEONE WHO WAS 15 STABBED IN THE HEART? 16 THEY'RE APPLICABLE ONLY IN THAT IT IS 17 POSSIBLE FOR SOMEONE WITH A HEART INJURY TO REMAIN UPRIGHT 18 AND ACTIVE FOR A PERIOD OF TIME, A SHORT PERIOD OF TIME 19 AFTER THE INJURY OCCURS. 20 Q AND FROM WHAT YOU JUST SAID, YOU WOULD 21 EXPECT A PERSON WITH A STAB WOUND AS OPPOSED TO A GUNSHOT 22 WOUND, TO BE ABLE TO REMAIN UP AND ACTIVE FOR AT LEAST 23 AS LONG, AND MAYBE A LITTLE LONGER? 24 A THAT'S CORRECT. 25 MR. GOETHALS: THANK YOU. I DON'T HAVE ANY 26 FURTHER QUESTIONS.

115 1 THE COURT: MR. KIES. MR. KIES: NO. I DON'T HAVE ANYTHING FURTHER. 3 THE COURT: THANK YOU, DOCTOR. YOU'RE EXCUSED. 4 HAVE A GOOD DAY. 5 NEXT WITNESS. 6 MR. GOETHALS: PEOPLE RECALL GLORIA GOMEZ. 7 (WHEREUPON BIANCA MONTOYA, OFFICIAL COURT 8 INTERPRETER. HAVING BEEN PREVIOUSLY DULY SWORN, AND WHO 9 HAD BEEN SEATED NEXT TO THE DEFENDANT THROUGHOUT THE 10 PROCEEDINGS, CAME FORWARD TO INTERPRET THE PROCEEDINGS 11 FOR THE WITNESS.) GLORIA DE ROSAS GOMEZ SANCHEZ, 12 RECALLED AS A WITNESS BY THE PEOPLE, HAVING BEEN PREVIOUSLY 13 14 DULY SWORN, WAS EXAMINED AND TESTIFIED, THROUGH THE 15 INTERPRETER, AS FOLLOWS: 16 THE COURT: THE WITNESS IS STILL UNDER OATH. 17 YOU MAY INQUIRE. 18 DIRECT EXAMINATION (CONTINUED) 19 BY MR. GOETHALS: MS. GOMEZ, I THINK WE 20 STOPPED YESTERDAY AT ABOUT THE TIME THAT YOU SAW THE 21 CRUZ BROTHERS LEAVE THE AREA ON KODIAK IN A YELLOW CAR. 22 DO YOU REMEMBER THAT CAR, MA'AM? 23 YES. 24 DID YOU SEE APPROXIMATELY HOW MANY PEOPLE 25 LEFT THE AREA IN THAT YELLOW CAR? 26 FOUR.

EXHIBIT

"B"

96 SANTA ANA, CALIFORNIA - THURSDAY, JULY 8, 1982 1 2 MORNING SESSION (THE FOLLOWING PROCEEDINGS WERE HAD IN OPEN 3 COURT IN THE PRESENCE OF THE JURY:) MR. GOETHALS: WITH THE COURT'S PERMISSION, WE HAVE 5 AGREED TO INTERRUPT THE PRESENT WITNESS FOR ANOTHER WITNESS 7 TO TESTIFY VERY BRIEFLY. 8 THE COURT: THAT'S FINE. CALL THAT WITNESS. MR. GOETHALS: PEOPLE CALL DR. ROBERT RICHARDS. 10 ROBERT GEORGE RICHARDS, 11 CALLED AS A WITNESS BY THE PEOPLE, WAS DULY SWORN AND 12 TESTIFIED AS FOLLOWS: 13 THE CLERK: DO YOU SOLEMNLY SWEAR THAT THE TESTIMONY YOU ARE ABOUT TO GIVE IN THE CASE NOW PENDING BEFORE THIS 14 15 COURT SHALL BE THE TRUTH, THE WHOLE TRUTH, AND NOTHING BUT 16. THE TRUTH SO HELP YOU GOD? 17 THE WITNESS: I DO. 18 THE CLERK: STATE YOUR FULL NAME AND SPELL YOUR 19 LAST NAME. 20 THE WITNESS: ROBERT GEORGE RICHARDS, 21 R-I-C-H-A-R-D-S. 22 DIRECT EXAMINATION 23 BY MR. GOETHALS: YOU'RE A MEDICAL DOCTOR; 24 IS THAT CORRECT, SIR? 25 Α YES. 26 HOW LONG HAVE YOU BEEN AN M.D.?

97 SINCE 1948. 1 WOULD YOU DESCRIBE YOUR MEDICAL BACKGROUND AND 2 3 EXPERIENCE? FOUR YEARS OF MEDICAL SCHOOL, FOUR YEARS 5 OF RESIDENCY. AND MY PRACTICE IS LIMITED TO PATHOLOGY. 6 I'M LICENSED IN THE STATE OF CALIFORNIA AND 7 I'M CERTIFIED IN CLINICAL ANATOMIC AND FORENSIC --8 MR. KIES: I WILL STIPULATE TO HIS QUALIFICATIONS. 9 THE COURT: ACCEPTABLE? 10 MR. GOETHALS: YES. 11 THE COURT: YOU WILL CONSIDER DR. RICHARDS AN EXPERT 12 IN ALL AREAS IN WHICH HE TESTIFIES. 13 ASK YOUR NEXT QUESTION. 14 BY MR. GOETHALS: WORKING AS A PATHOLOGIST, 15 ARE YOU CURRENTLY UNDER CONTRACT WITH THE COUNTY OF 16 ORANGE TO DO AUTOPSIES? 17 YES, I'VE BEEN DOING THAT FOR 25 YEARS. 18 DIRECTING YOUR ATTENTION TO JANUARY 30, 1982 19 AT APPROXIMATELY 11:15 IN THE MORNING, DID YOU HAVE OCCASION 20 TO PERFORM AN AUTOPSY ON A DECEASED IDENTIFIED AS JUSE 21 GOMEZ? 22 YES, I DID. Α 23 WHERE DID YOU PERFORM THAT AUTOPSY? 24 AT THE NEW FACILITY OVER HERE. 25 THE ONE ADJACENT TO THE SHERIFF'S OFFICE AND Q 26 JAIL?

98 YES. 1 MR. GOETHALS: MAY I APPROACH THE WITNESS, YOUR 2 HONOR? 3 THE COURT: YES. WE MAY AS WELL DISPENSE WITH THE 4 REQUEST TO APPROACH THE WITNESS, CLERK, AND THE BOARD, 5 SINCE NOBODY COMPLIES WITH IT ANYWAY -- LITTLE HUMOR, 6 VERY LITTLE. 7 MR. KIES: MAY WE APPROACH? I BELIEVE WE HAVE A 8 STIPULATION, YOUR HONOR. 9 THE COURT: FRAME IT. 10 MR. KIES: THAT THE -- IT WOULD BE STIPULATED THAT 11 IF A RELATIVE -- WELL, THE BASIC STIPULATION IS, YOUR HONOR, 12 IS THAT JOSE GOMEZ THAT DR. RICHARDS DID THE AUTOPSY ON 13 IS THE SAME JOSE GOMEZ WHO WAS IDENTIFIED AS BEING IN THE 14 FIGHTING ON JANUARY 22, 1982 OUTSIDE OF 431 KODIAK. 15 THE COURT: AND THAT, OF COURSE, HE WAS A LIVE 16 HUMAN BEING PRIOR TO DR. RICHARDS' OBSERVING HIM DURING 17 THE AUTOPSY? 18 MR. KIES: THAT'S CORRECT. 19 THE COURT: SO STIPULATED? 20 21 MR. GOETHALS: YES, YOUR HONOR. THE COURT: THAT STIPULATION IS ENTERED. 22 LADIES AND GENTLEMEN, THAT FACT IS 23 CONCLUSIVELY PROVED, THAT IT IS THE SAME INDIVIDUAL. 24 BY MR. GOETHALS: DR. RICHARDS, DURING THE 25 COURSE OF YOUR AUTOPSY ON JOSE GOMEZ, DID YOU FIND ANY 26

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E WAS A FATAL STAB WOUND IN THE LEFT CHEST THAT PENETRATED THE HEART.

THERE WAS A STAB WOUND IN THE RIGHT CHEST THAT DID NOT PENETRATE BEYOND THE BONE OF THE CHEST.

THERE WAS A STAB WOUND IN THE HIP, RIGHT HIP, THAT WAS ABOUT TWO AND A HALF INCHES DEEP.

AND THERE WAS A VERY SUPERFICIAL SLICE TO THE LEFT UPPER ARM.

O OF THE FOUR WOUNDS THAT YOU MENTIONED, FROM WHAT YOU'VE MENTIONED, I WOULD ASSUME THAT IT WAS THE LEFT CHEST WOUND THAT WAS THE MOST SERIOUS; IS THAT CORRECT?

THAT WAS THE FATAL ONE. YES.

COULD YOU EXPLAIN TO THE JURY WHY THAT PARTICULAR WOUND WAS A FATAL WOUND?

> IT PENETRATED THE HEART. Α

SO IT PUNCTURED THE HEART? Q

Α YES.

HOW DID THAT CAUSE DEATH? Q

COULD BE LOSS OF BLOOD, PRIMARILY. Α

THE BLEEDING INTO THE PERICARDIAC SAC --THE HEART IS IN THE SAC AND FILLING THAT SAC WITH BLOOD WOULD CERTAINLY MAKE IT A VERY POOR PUMP. THAT IS, IT. WOULD NOT BE ABLE TO EXPAND AND TO TAKE BLOOD IN OR PUMP BLOOD OUT EFFECTIVELY.

FROM WHAT YOU'VE SAID, AND FROM ANY BASIC

100 1 UNDERSTANDING, I WOULD ASSUME IT'S A FAIRLY SERIOUS WOUND 2 AS SOON AS IT'S ADMINISTERED OR INFLICTED; 1S THAT TRUE? A YES, IT'S FATAL FOR ALL INTENTS AND 3 PURPOSES. IS IT POSSIBLE THAT A PERSON WHO HAS BEEN STABBED IN THE MANNER THAT YOU FOUND THAT OCCURRED TO JOSE GOMEZ, IS IT POSSIBLE THAT SUCH A PERSON COULD REMAIN 7 UPRIGHT AND WALK AROUND FOR SOME PERIOD OF TIME AFTER 8 RECEIVING THAT TYPE OF WOUND? 9 IT'S POSSIBLE, YES. 10 I'VE SEEN CASES THAT WERE UP AND ACTIVE FOR 11 POSSIBLY TEN SECONDS, 12 SECONDS. 12 WHEN YOU DID THE AUTOPSY ON JOSE GOMEZ DID 13 YOU SEE ANY INDICATION OF ANY RECENT MEDICAL TREATMENT OR 14 SURGERY? 15 YES. THERE WAS QUITE A BIT. 16 COULD YOU DISTINGUISH BETWEEN YOUR AUTOPSY, 17 THE SURGICAL INCISIONS, FROM WHAT YOU DESCRIBED AS THE 18 STAB WOUNDS? 19 Α YES. 20 WAS THERE ANYTHING IN PARTICULAR THAT ALLOWED 21 YOU TO TELL ONE FROM THE OTHER? 22 MOST OF THE SURGERIES WERE SUTURED OR 23 CONTAINED DRAINS, YOU KNOW, THAT YOU WOULD ANTICIPATE THEN 24 WERE FOR THE BENEFIT OF THE INDIVIDUAL AND WERE SURGICALLY 25 INDUCED. 26

101 1 SO THE FOUR WOUNDS YOU'VE TALKED ABOUT WITH 2 US WERE STAB WOUNDS RATHER THAN SURGICAL INCISIONS; IS 3 THAT RIGHT? A THAT'S RIGHT. 5 MR. GOETHALS: THANK YOU. I DON'T HAVE ANY 6 FURTHER QUESTIONS. -- OH, EXCUSE ME, YOUR HONOR. WE HAVE ENTERED INTO ANOTHER STIPULATION, I BELIEVE. 7 8 AND, THAT IS, DURING THE COURSE OF 9 DR. RICHARDS' AUTOPSY, BLOOD WAS REMOVED FROM THE DECEASED 10 JOSE GOMEZ, AND THAT IT WAS SUBSEQUENTLY EXAMINED BY A 11 PERSON QUALIFIED TO DO THAT, THAT THE BLOOD ALCOHOL LEVEL 12 WAS FOUND TO BE .12; 13 ADDITIONALLY SCREENED FOR DRUGS AND NO DRUGS 14 FOUND IN MR. GOMEZ'S SYSTEM. 15 THE COURT: SO STIPULATED? 16 MR. KIES: YES, YOUR HONOR. 17 COULD I HAVE A DISCUSSION WITH THE 18 DISTRICT ATTORNEY FOR ONE BRIEF MOMENT? 19 THE COURT: YES. GO AHEAD. 20 (DISCUSSION BETWEEN COUNSEL, OFF THE RECORD.) 21 MR. GOETHALS: YOUR HONOR, COULD I APPROACH THE 22 WITNESS AND ASK HIM A QUESTION, JUST BETWEEN THE TWO OF US, 23 FOR THE PURPOSE OF CLARIFYING THE STIPULATION? 24 MR. KIES: AND --25 THE COURT: YES. 26 MR. KIES: -- THAT'S AT MY REQUEST.

102 (DISCUSSION BETWEEN THE DISTRICT ATTORNEY AND 1 THE WITNESS, OFF THE RECORD.) 2 MR. GOETHALS: THANK YOU, YOUR HONOR. 3 4 (DISCUSSION BETWEEN COUNSEL, OFF THE RECORD.) MR. GOETHALS: YOUR HONOR, I'D LIKE TO ALTER THE 5 6 STIPULATION SLIGHTLY, IF I COULD. THE STIPULATION WOULD BE AS FOLLOWS: 7 8 BLOOD WAS DRAWN FROM JOSE GOMEZ WHEN HE WAS TAKEN TO THE HOSPITAL BY THE PARAMEDICS SHORTLY AFTER THE 10 TIME HE WAS STABBED, AND THAT BLOOD WAS ANALYZED AND FOUND 11 TO CONTAIN .12 PERCENT ALCOHOL AND NO DRUGS. MR. KIES: SO STIPULATED. 12 13 THE COURT: THAT STIPULATION IS ENTERED AND IT IS 14 CONCLUSIVELY PROVED. 15 MR. GOETHALS: THANK YOU, YOUR HONOR. NO FURTHER 16 OUESTIONS. 17 THE COURT: YOU MAY CROSS-EXAMINE. 18 CROSS-EXAMINATION 19 BY MR. KIES: WERE YOU ABLE TO TELL WHETHER Q 20 THE STAB WOUND ON THE HIP WAS INFLICTED FIRST, AS OPPOSED 21 TO THE STAB WOUNDS IN THE CHEST AREA, OR VICE VERSA? 22 A NO, I WOULDN'T BE ABLE TO TELL THAT. 23 NOW, YOU'VE LIMITED THE ACTIVE PERIOD THAT 24 YOU BELIEVE IT'S POSSIBLE FOR A PERSON RECEIVING SUCH A 25 WOUND TO TEN OR 12 SECONDS. 26 WOULD YOU BELIEVE IT POSSIBLE FOR SUCH A

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PERSON TO. IN A SENSE, REMAIN IN COMBAT; IN OTHER WORDS, MOVE TO DEFEND SOMEONE FOR, LET'S SAY, A PERIOD OF HALF A MINUTE?

I THINK THE BEST WAY TO ANSWER THAT IS THAT A YEAR AGO I USED TO FEEL THAT IF YOU HAD A HEART INJURY YOU FELL LIKE A STONE, NO MORE ACTIVITY.

HOWEVER, DURING THAT PERIOD OF TIME CERTAIN CASES HAVE OCCURRED THAT HAD TIME DURATION, THAT IS, FATAL HEART INJURY STAB WOUNDS, GUNSHOT, THAT HAD AS MUCH AS 10 OR 12 SECONDS. THEREFORE, I FEEL IT'S REASONABLE TO ASSUME THAT ON AN OCCASION THIS CAN OCCUR.

I DON'T RECALL EVER HAVING ONE, YOU KNOW, FOR 30 SECONDS.

WOULD THE AGE AND THE HEALTH OF THE PERSON RECEIVING THE WOUND BE A FACTOR IN DETERMINING HOW SUCH A PERSON COULD REMAIN ACTIVE?

YES, AND POSSIBLY WILL WOULD ALSO BE A FACTOR.

Q SO IF THE INJURED PERSON WITH AN INJURY IN THE HEART HAD BEEN STIMULATED, LET'S SAY, VERY STRONGLY STIMULATED IN COMBAT OF SOME SORT, IT WOULD BE MORE LIKELY THAT THAT PERSON COULD REMAIN ACTIVE FOR A LONGER PERIOD?

IT'S POSSIBLE.

WERE YOU ABLE TO DETERMINE WHETHER THERE WAS ANY OTHER PRE-EXISTING BRUISING ON THE BODY OF MR. GOMEZ? MR. GOETHALS: I'D OBJECT. IT'S VAGUE AS TO WHAT

CONSTITUTES PRE-EXISTING.

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THE COURT: SUSTAINED.

Q BY MR. KIES: WELL, CALL IT BRUISING CAUSED BY STRIKING OF SOME SORT, OTHER THAN BRUISING CAUSED BY HOSPITAL PROCEDURES, MEDICAL PROCEDURES.

A THERE WAS A BRUISE ON THE UPPER LIP AND A CRUSTING, HEALING TYPE OF PROCESS ON THE LOWER LIP.

Q DID YOU NOTICE ANY OTHER, LET'S SAY, MINOR ABRASIONS OR CUTS?

A I'D HAVE TO REFRESH MY MEMORY.

Q PLEASE DO, DOCTOR, OTHER THAN WHAT MAY HAVE BEEN CAUSED BY MEDICAL PROCEDURES.

I HAVE NO RECORDS. PERHAPS THE PHOTOGRAPHS MIGHT SHOW MORE.

NOW, IF WITNESSES INDICATED THAT THEY SAW VERY LITTLE BLOOD ON THE OUTSIDE OF THE BODY OF THIS MR. GOMEZ, AT LEAST INITIALLY WHILE HE WAS STILL, LET'S SAY, MOVING, WOULD THAT INDICATE TO YOU THAT POSSIBLY BLOOD PRESSURE WAS BEING MAINTAINED IN MR. GOMEZ'S SYSTEM BY EITHER A SLOWER LEAKAGE THROUGH THE HEART OR BY THE HEART STABBING -- I FORGET THE EXACT WORD YOU USE FOR THE HEART STABBING -- WOULD THAT MAINTAIN PRESSURE FOR A PERIOD OF TIME?

IT WOULD -- THERE WOULDN'T BE ANYTHING IN THE PROCESS THAT WOULD GO ON THAT WOULD AID OR ABET BLOOD PRESSURE,

THE STAB WOUND EXTENDED FROM THE -- RIGHT
THROUGH THE SEPTUM INTO THE LEFT HEART, SO THE PRESSURE
THAT YOU'RE REALLY WORKING UNDER WOULD BE NORMAL BLOOD
PRESSURE, THAT IS, YOU KNOW, SAY 120 OVER 80 OR SOMETHING
OF THAT SORT, AND THAT'S EXPRESSED IN MILLIMETERS OF
MERCURY.

IT'S A GOOD HEAD OF PRESSURE SO THAT THAT BLOOD UNDER THAT PRESSURE WOULD BE LEAKING OUT.

OF COURSE, FIRST IT WOULD FILL UP THE SAC
WHICH PROBABLY WOULDN'T TAKE A VERY LONG PERIOD OF TIME.

I THINK THE ONLY REASON THAT YOU PROBABLY WOULDN'T GET
BLOOD SHOWN RIGHT AWAY WOULD BE THAT A STAB WOUND WOULD
CLOSE, TEND TO APPROXIMATE THE EDGES, AND MIGHT RETAIN THE
BLOOD INSIDE FOR A PERIOD OF TIME.

Q WELL, THE BASIC CAUSE OF DEATH IS THAT

ULTIMATELY THE BLOOD CIRCULATION SYSTEM RUNS OUT OF BLOOD;

IS THAT CORRECT?

A YES. IT'S THE BLEEDING, LOSS OF CIRCULATING VOLUME AND, AS I MENTIONED BEFORE, IT WOULD ALSO -- EXCUSE ME -- ALSO BE THE LOSS OF FUNCTION TO THE HEART BY FILLING THE PERICARDIAL SAC WITH BLOOD;

IN OTHER WORDS, IT'S LIKE YOUR ARMS. YOU

HAVE PRETTY STRONG FLEXERS BUT WEAKER EXTENDERS, SO THAT

THE HEART HAS VERY GOOD CONTRACTING MUSCLE, BUT IT DOESN'T

HAVE ANYTHING TO EXPAND IT.

THAT'S DONE BY GRAVITY SO AS THE HEART SAC

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FILLS UP IT WOULD PUSH THE HEART MORE AND MORE, IT WOULD 1 2 CONTRACT LESS, IT WOULD CONTRACT POORER. 3 LET'S SAY THE TRAUMA TO THE HEART ITSELF THAT CAUSES THE HEART TO STOP; IN OTHER WORDS, THE HEART, 5 IN A SENSE, KEEPS ON BEATING BUT IN A WEAKER MANNER. 6 THE CONDUCTION SYSTEM COULD BE INVOLVED AND, 7 AS SUCH, THERE MIGHT BE A FACTOR. 8 THE STAB WOUND WENT THROUGH THE SEPTUM AND 9 THE SEPTUM IS WHERE THE CONDUCTION SYSTEM FLOWS, IN OTHER 10 WORDS, THE ELECTRICAL IMPULSE TO THE HEART WOULD BE IN 11 THAT REGION. 12 BUT IF THAT OCCURRED YOU WOULD EXPECT THAT 13 THE DECEASED WOULD IMMEDIATELY FALL ON HIS FACE IF THE 14 HEART STOPPED IMMEDIATELY? 15 A YES, I WOULD. 16 MR. KIES: ALL RIGHT. I HAVE NOTHING FURTHER. 17 THE COURT: ANY REDIRECT? 18 MR. GOETHALS: YES, YOUR HONOR. 19 REDIRECT EXAMINATION 20 BY MR. GOETHALS: DOCTOR, YOU SAY IN RESPONSE 21 TO COUNSEL'S QUESTION, I THINK THAT AT ONE TIME IN YOUR 22 MEDICAL CAREER YOU FELT THAT IF SOMEONE RECEIVED A FATAL 23 HEART WOUND THEY SHOULD FALL LIKE A STONE; IS THAT RIGHT? 24 Α YES. 25 DURING THE COURSE OF YOUR CAREER, HAVE YOU 26 SEEN THINGS OR HAD DISCUSSIONS WITH PEOPLE CONCERNING PEOPLE

WHO ARE DECEASED THAT YOU PERFORMED AUTOPSIES THAT HAVE CAUSED YOU TO CHANGE THAT OPINION?

A YES.

Q CAN YOU GIVE AN EXAMPLE OF THE TYPE OF THING
THAT YOU HAVE EXPERIENCED THAT'S CAUSED YOU TO CHANGE YOUR
OPINION?

A WELL, THERE WAS A PAWN SHOP HOLD-UP AND THE OWNER SHOT THE ROBBER AT THE DOOR AND, OF COURSE, THE ROBBER SHOT THE OWNER. HE FELL DOWN BEHIND THE CABINET.

AND THE ASSAILANT MADE IT A DISTANCE OF

10 OR 12 FEET FROM THE DOOR TO THE CABINET AND WAS, YOU

KNOW, TRYING TO FINISH OFF THE OWNER WHEN HE DIED, WHICH

AT THAT TIME I FELT WAS, YOU KNOW, IMPOSSIBLE PRACTICALLY.

AND THEN THERE WAS ANOTHER INSTANCE WHERE IT WAS WITNESSED THAT THIS MAN WAS SHOT IN THE MIDDLE OF THE BLOCK AND HE RAN THE BALANCE OF THE BLOCK, ACROSS THE STREET, AND COLLAPSED IN A PARKING LOT, WHICH WAS A DISTANCE OF MORE THAN A HUNDRED YARDS. SO HE MUST HAVE BEEN A VERY GOOD RUNNER AND THAT WOULD BE, YOU KNOW, 10, 12 SECONDS, PROBABLY, WHICH WOULD BE THE MAXIMUM TIME THAT I'M REALLY AWARE OF.

Q AND HAD BOTH OF THOSE PEOPLE THAT YOU'RE TALKING ABOUT RECEIVED WOUNDS DIRECTLY TO THE HEART?

A THEY WERE BOTH WOUNDS TO THE HEART.

Q THE TYPE THAT YOU HAD PREVIOUSLY EXPECTED A
PERSON TO FALL LIKE A STONE?

108 1 THAT'S CORRECT, 2 IN THIS CASE WHEN YOU DID THE AUTOPSY ON 3 JOSE GOMEZ, COULD YOU CHARACTERIZE FOR THE JURY WHAT HIS HEALTH WAS EXCEPT FOR THE STAB WOUNDS; IN OTHER WORDS, DID YOU FIND THAT HE HAD ANY OTHER MEDICAL PROBLEM OR WAS HE 5 IN GOOD HEALTH? 6 7 A HE WAS IN GOOD HEALTH. 8 MR. GOETHALS: THANK YOU. I DON'T HAVE ANY 9 FURTHER QUESTIONS. 10 THE COURT: RECROSS? 11 RECROSS-EXAMINATION 12 BY MR. KIES: DR. RICHARDS, THERE IS A 13 SUBSTANTIAL DIFFERENCE BETWEEN A WOUND CAUSED BY A BULLET 14 AS OPPOSED TO A WOUND CAUSED BY A SHARP KNIFE; IS THAT 15 CORRECT? 16 I THINK YOU CAN IDENTIFY THE DIFFERENCE. 17 I DON'T UNDERSTAND WHAT --18 WELL, A BULLET CAUSES SEVERE TRAUMA NOT ONLY 19 AS FAR AS THE HOLE GOING IN, BUT IT ALSO, TO A WIDER AREA, 20 BESIDES THE, CALL IT THE CIRCUMFERENCE OF THE BULLET, 21 BECAUSE OF COMPACTION OF THE MEMBRANES? 22 Α YES. 23 NOW, FOR EXAMPLE, IN THIS PARTICULAR CASE 24 WE HAVE A KNIFE WOUND; IS THAT CORRECT? 25 Α YES. 26 FROM LOOKING AT THE WOUND, I BELIEVE IT --

109 1 WAS IT YOUR MEDICAL DECISION THAT THIS WAS A SHARP KNIFE? YES. 2 Α 3 ALL RIGHT. Q AND THUS THE WOUND WAS A VERY, ONE, A VERY 4 NARROW WOUND AS FAR AS WIDTH? 5 A YES. 6 7 AND A VERY CLEAN WOUND AS FAR AS THE AREA? Q OR, LET'S SAY, A VERY SMALL WOUND AS FAR AS THE AREA IN 8 9 WHICH IT CAUSED IMMEDIATE DAMAGE? 10 COMPARED TO A BULLET, YES. Q IN FACT, A BULLET WOULD HAVE CAUSED A TRAUMA 11 12 TO THAT PARTICULAR AREA, LET'S SAY, AS FAR AS AREA GOES, 13 A HUNDREDFOLD WORSE? 14 I DON'T KNOW IF I CAN GO THAT FAR. Α 15 OF COURSE, THE INJURY THAT THE BULLET WOULD 16 PRODUCE WOULD DEPEND ON THE VELOCITY AND THE TYPE OF SLUG. 17 I THINK THE ONLY THING THAT THEY WOULD SHARE, 18 THAT IS, THE SLOW, SMALL SLUG OR A FAST, LARGER SLUG, WOULD 19 BE WHAT APPEARS TO BE AN ERUPTION. 20 WELL, IT'S LIKE WHEN YOU POP A BALLOON OR A 21 BALLOON FULL OF WATER, YOU HAVE THE MOVEMENT OF THE BULLET 22 AND THEN YOU HAVE THE EXPANSIVE MOVEMENT OF THE FLUID AS 23 WELL THAT PRODUCES A GREATER TEARING. 24 AND THIS DOES NOT OCCUR WHEN YOU HAVE A SHARP 25 KNIFE INVOLVED? 26 A THAT'S TRUE.

110 WERE YOU ABLE TO DETERMINE THE MEASUREMENTS 1 Q OF THE WOUND AT THE ENTRY POINT IN THE HEART? 2 3 Α MAY I? 4 YES, PLEASE. THE REPORT HAS NO MEASUREMENT. 5 6 AS I RECALL, THEY WERE ABOUT A HALF AN INCH. 7 THEY WERE SMALL. HALF AN INCH IN LENGTH, FOR EXAMPLE? 8 9 YES. WHATEVER YOU WANT TO CALL IT, LIKE, SAY. A 10 11 SIXTEENTH OF AN INCH IN WIDTH? IN OTHER WORDS, WHAT I'M TRYING TO DIFFERENTIATE, WHAT IS BETWEEN LIKEA CIRCULAR 12 13 WOUND AND LIKE A VERY FLAT TYPE WOUND. 14 NOW, IT WOULD HAVE BEEN A VERY FLAT TYPE WOUND! 15 YES, IT WOULD. · A 16 NOW, THE HEART IS ALL MUSCLE AND THERE WOULD 17 BE A TENDENCY FOR THE HEART TO CLOSE AROUND A WOUND ONCE 18 THIS OBJECT, LET'S SAY THE KNIFE, WAS WITHDRAWN? 19 IN THE CONTRACTING PHASE, YES, IT WOULD. 20 AS THE HEART CONTRACTS, IT WOULD DEFINITELY 21 TEND TO CLOSE OFF THAT WOUND TO THE BEST OF ITS ABILITY? 22 Α YES. 23 FOR EXAMPLE, THERE WOULD BE A MAJOR DIFFERENCE 24 BETWEEN A WOUND IN THE HEART IN THAT RESPECT, OR A WOUND IN 25 THE ARTERY IN WHICH THE ARTERY DOES NOT HAVE THE SAME 26 MUSCULAR STRUCTURE AS THE HEART DOES?

THAT'S TRUE. Α

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NOW, WHEN GIVING EXAMPLES, HAVE YOU HAD ANY EXPERIENCE WITH PERSONS WHO HAVE BEEN STABBED IN THE HEART AND HAVE REMAINED ACTIVE?

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WE HAD ONE HERE RECENTLY WHERE THE VICTIM WAS STABBED IN THE HEART IN THE MIDDLE OF THE STREET, LEFT TURN LANE. AND HE WALKED ACROSS THE BALANCE OF THE STREET AND COLLAPSED ON THE SIDE.

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AND DO YOU HAVE A RECOLLECTION AS TO HOW LONG THAT PERSON REMAINED ACTIVE?

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NO. NO, IT WOULD BE JUST THE TIME IT WOULD

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TAKE TO CROSS HALF THE STREET. AND IS THAT THE ONLY EXAMPLE THAT YOU

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MAINTAINED HIMSELF ACTIVE FOR A PERIOD OF TIME WITH A STAB

PERSONALLY KNOW OF OR HAVE READ ABOUT WHERE A PERSON HAS

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WOUND IN THE HEART?

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THAT'S THE ONLY ONE I CAN RECALL OFFHAND.

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IF YOU WERE INFORMED BY AN ALLEGED EYEWITNESS 19 THAT THE VICTIM -- STRIKE THAT -- NOT VICTIM -- THAT THE

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INJURED PERSON WITH THE STAB WOUND, MAINTAINED HIMSELF

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ACTIVE TO THE POINT OF ACTUALLY GOING TO THE DEFENSE OF

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ANOTHER PERSON FOR A RELATIVELY SHORT PERIOD OF TIME,

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BUT A PERIOD OF TIME AND THEN WALKED ACROSS THE STREET,

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WOULD YOU CONSIDER THAT AN EXCEPTION TO YOUR BASIC EARLIER

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PREMISE, THAT IS, THAT A PERSON FALLS LIKE A STONE ONCE

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STABBED?

112 I'VE ALREADY SAID THAT I MODIFIED THAT WITH 1 EXPERIENCE AND THAT THAT EXPERIENCE WOULD EXTEND TO, YOU 2 KNOW, 10, 12 SECONDS, MAYBE 15 SECONDS. 3 EXPERIENCE DOESN'T SAY IT CAN GO BEYOND THAT, 4 BUT I SEE NO REASON WHY IT COULDN'T GO BEYOND THAT IF THE 5 WOUND WERE SUCH AND THE HEALTH OF THE INDIVIDUAL WAS SUCH 6 AND THE WILL WERE SUCH THAT THE INDIVIDUAL COULD USE THAT 7 8 LAST EFFORT. MR. KIES: ALL RIGHT. FAIR ENOUGH. THANK YOU VERY 9 MUCH, DOCTOR. 10 THE COURT: MR. GOETHALS? 11 MR. GOETHALS: COUPLE MORE, YOUR HONOR. SORRY. 12 13 FURTHER REDIRECT EXAMINATION BY MR. GOETHALS: DOCTOR, IN DESCRIBING THE 14 15 WOUND THAT YOU SAW IN THE CHEST AREA, WOULD YOU SAY THAT 16 THOSE WOUNDS AS YOU SAW THEM, AND AS YOU'VE DESCRIBED THEM, 17 AND AS YOU RECALL THEM, WERE CONSISTENT WITH A THRUSTING 18 TYPE MOTION INTO THE CHEST WITH A KNIFE? 19 YES. 20 Q A QUICK THRUSTING AND THEN PULLING THE KNIFE 21 RIGHT OUT, THAT TYPE OF WOUND, RIGHT? 22 . А YES. 23 RATHER THAN STICKING THE KNIFE IN AND TWISTING 24 IT AROUND OR ANYTHING LIKE THAT; IS THAT CORRECT? 25 WELL, THEY WOULD BE JUST DIRECT STAB WOUNDS,

THAT IS, THE ONE TO THE LEFT CHEST, THE FATAL ONE, THERE WAS

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NOTHING IN THE WAY OF TWISTING OR TURNING. THE ONE TO THE RIGHT CHEST IS SO SUPERFICIAL THAT THERE'S VERY LITTLE DIFFERENCE BETWEEN THE SKIN AND THE BREASTPLATE, MAYBE A HALF INCH, SO THERE REALLY WOULDN'T BE ANY INDICATION OR

POSSIBLE INDICATION OF TWISTING OR TURNING ON THAT.

THE ONE IN THE HIP STOPPED AT THE BONE. SO, AGAIN, THERE WASN'T ANY INDICATION THERE OF ANY TWISTING OR TURNING.

Q JUST SO WE'RE ALL CLEAR ON ONE AREA, YOU

JUST HAD A DISCUSSION WITH MR. KIES ABOUT BULLET WOUNDS

VERSUS STAB WOUNDS, RIGHT, AND THE DIFFERENCE IN HOW THEY

MIGHT AFFECT SOMEONE, RIGHT?

A YES.

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Q WOULD YOU EXPECT THE PERSON WITH THE TYPE

OF STAB WOUND THAT YOU SAW IN THIS CASE TO BE ABLE TO REMAIN

ACTIVE LONGER OR SHORTER THAN A PERSON WHO, SAY, WAS SHOT

IN THE SAME PLACE WITH A .38? CAN YOU SAY?

THAT THE WOUND WOULD BE NARROWER, WOULD BE A BETTER

OPPORTUNITY TO CLOSE THE WOUND ANATOMICALLY, WHEREAS A HOLE,

AND USUALLY A BULLET WOUND, WILL HAVE SOME DEGREE OF

TEARING. THE HEART WOULD NOT BE ABLE TO CLOSE INJURIES

LIKE THAT AS WELL AS A STAB WOUND, SO THEY COULD BE

ACTIVE LONGER.

Q SO WHEN YOU DESCRIBED THE PREVIOUS EXAMPLES
YOU COULD OF THE PAWN SHOP ROBBER SHOT IN THE HEART OR THE

114 OTHER MAN WHO WAS SHOT IN THE HEART AND THEN RAN A HUNDRED 1 YARDS BEFORE HE COLLAPSED. IS THERE ANY REASON WHY, A STAB WOUND VICTIM WOULD NOT FALL WITHIN THOSE TYPE OF SITUATIONS? 5 DO YOU UNDERSTAND THAT QUESTION? 6 I'M NOT SURE I DO. LET ME CLARIFY, IF I CAN. 8 YOU USE THOSE TWO EXAMPLES AS EXAMPLES THAT 9 CAUSED YOU TO CHANGE YOUR OPINION AS TO WHETHER OR NOT 10 SOMEONE WITH A HEART INJURY WOULD FALL RIGHT OVER LIKE A 11 STONE, RIGHT? 12 A YES. 13 DO YOU FEEL THAT THOSE EXAMPLES ARE 14 INAPPLICABLE TO YOUR OPINION CONCERNING SOMEONE WHO WAS 15 STABBED IN THE HEART? 16 A THEY'RE APPLICABLE ONLY IN THAT IT IS . 17 POSSIBLE FOR SOMEONE WITH A HEART INJURY TO REMAIN UPRIGHT 18 AND ACTIVE FOR A PERIOD OF TIME, A SHORT PERIOD OF TIME 19 AFTER THE INJURY OCCURS. 20 Q AND FROM WHAT YOU JUST SAID, YOU WOULD 21 EXPECT A PERSON WITH A STAB WOUND AS OPPOSED TO A GUNSHOT 22 WOUND. TO BE ABLE TO REMAIN UP AND ACTIVE FOR AT LEAST 23 AS LONG, AND MAYBE A LITTLE LONGER? 24 A THAT'S CORRECT. 25 MR. GOETHALS: THANK YOU. I DON'T HAVE ANY 26 FURTHER QUESTIONS.

115 THE COURT: MR. KIES. 2 MR. KIES: NO. I DON'T HAVE ANYTHING FURTHER. 3 THE COURT: THANK YOU, DOCTOR. YOU'RE EXCUSED. 4 HAVE A GOOD DAY. 5 NEXT WITNESS. 6 MR. GOETHALS: PEOPLE RECALL GLORIA GOMEZ. 7 (WHEREUPON BIANCA MONTOYA, OFFICIAL COURT 8 INTERPRETER, HAVING BEEN PREVIOUSLY DULY SWORN, AND WHO 9 HAD BEEN SEATED NEXT TO THE DEFENDANT THROUGHOUT THE 10 PROCEEDINGS. CAME FORWARD TO INTERPRET THE PROCEEDINGS 11 FOR THE WITNESS.) GLORIA DE ROSAS GOMEZ SANCHEZ, 12 13 RECALLED AS A WITNESS BY THE PEOPLE, HAVING BEEN PREVIOUSLY 14 DULY SWORN, WAS EXAMINED AND TESTIFIED, THROUGH THE 15 INTERPRETER, AS FOLLOWS: 16 THE COURT: THE WITNESS IS STILL UNDER OATH. 17 YOU MAY INQUIRE. 18 DIRECT EXAMINATION (CONTINUED) 19 BY MR. GOETHALS: MS. GOMEZ, I THINK WE 20 STOPPED YESTERDAY AT ABOUT THE TIME THAT YOU SAW THE 21 CRUZ BROTHERS LEAVE THE AREA ON KODIAK IN A YELLOW CAR. 22 DO YOU REMEMBER THAT CAR, MA'AM'? 23 Α YES. 24 DID YOU SEE APPROXIMATELY HOW MANY PEOPLE 25 LEFT THE AREA IN THAT YELLOW CAR? 26 FOUR.

EXHIBIT (C)

June, 2005

PSYCHOLOGICAL EVALUATION FOR THE BOARD OF PRISON TERMS SUMMER 2005 LIFER HEARING SAN OUENTIN STATE PRISON

1. <u>Identifying Information</u>: Isidro Romero is a 52 year-old native Mexican male who is serving a 16 year to life sentence for the 1982 murder of Jose Gomez. He has been denied parole nine times thus far. For a thorough review of background information see the previous psychological evaluations of September, 2004 and June, 2002.

This report is based on a 2 ½ hour interview occurring on June 23, 2005. The medical records and central file were reviewed for 3.0 hours.

Please note that the request for this current evaluation was due to objections raised by the inmate's attorney to the risk assessment section of the previous psychological evaluation of December, 2004. The objection pertained to a statement in the 12/04 evaluation, that Mr. Romero was statistically more likely to commit violence again when compared to the average member of the non-prison population for the reasons that "he is a man; he has a history of violence; he is single and he has a history of alcohol abuse."

CLINICAL ASSESSMENT

XII. Current Mental Status

A. Mental Status evaluation:

Mr. Romero presented as a short, slim but physically strong Latino male with neatly groomed, graying hair and brown eyes. His physical movement was fluid and natural while he wore standard prison issue clothing. He spoke accented English of sufficient fluency to the task at hand. His speech was of normal flow while content was logical and sequential with no evidence of thought disturbance. Mr. Romero was very polite and humble in his demeanor, while he related to the interviewer in a non-defensive and particularly forth right manner. He was cooperative and engaged throughout, making good eye contact and spontaneously disclosing of personal information when appropriate. Mr. Romero's mood was good with congruent and broad affect. There were no signs of psychosis nor evidence of homicidal or suicidal ideation, intent or plan. Mr. Romero was oriented to person, place, time and reason for the interview. There was no evidence of psycho-neurological deficits. Mr. Romero denied symptoms of mental illness.

B. Clinical Diagnosis and Level of Functioning:

Axis I: Alcohol Abuse in full institutional remission

Axis II: None

Axis III: None acute

Axis IV: Stressors: Incarceration with Life Term

Axis V: Global Assessment of Functioning (GAF) = 85

C. Treatment Activities: None. Mr. Romero absent of psychiatric illness.

XIII: Review of Life Crime:

A. Inmates version and view of offense and attitude toward victim; assessment of causative factors.

Mr. Romero recalled the evening of the crime, "I was in a bar with some friends..we were invited to a party in Anaheim..the guys I was with in the car went out to the house...Sergio went...and Fausto came out and they started to fight..alot of people came out of the house... I do not know why they were fighting but I found out later that Sergio and his brother had 2 girl friends in the house and they came to pick them up but Fausto objected and they started to fight.. I was in the yard and he (the victim) came out and confronted me, he was holding a knife and I said ""hold on" because our fathers were friends - I don't want to fight...I walked backwards and went 50 feet from the house...my mistake was crossing the street because there were cars... I had my back to the cars..someone came up behind me and hit me in the neck... I drop to the ground on one knee, it was totally dark. He (the victim) came over and tried to stab me in the head...I put one arm up and he cut my arm...something happened like this, I don't know how I got the knife out...don't recall. Gomez (the victim) came to attack a second time..I think I was afraid. as he came, I ducked and shoved the knife at Gomez and thought I stabbed him in the stomach but it was in his chest. After that, Gomez turned and walked away and me and my friends went to the hospital. I did not intend to kill him...I tried to stop him...I was not that worried about him because he walked away...I thought he was not that injured... [Reaction to the death of victim?] I was arrested for the stabbing..he (the victim) was in the hospital...My wife visited me in jail and I find out I stabbed him in the chest...She said maybe he would die – it was terrible. [What was terrible?] I didn't want him to die, he's a human being, he's a man. There were no problems, why did this have to happen?..We (he and the victim) had nothing to do with the conflict (between Fausto and Mr. Romero's friends) but he died and I went to prison." [How do you feel about what you did? I had to pay for it.. what I did... I took a life and I did that. I can't bring him (the victim) back. I feel bad about the crime I committed. I hurt his family. I hurt my own family. Society does not want me back. I have no right to kill a human being...I have no right to do that." [How do you understand that you killed the victim that evening?] "When I get drunk I get violent, now I know that. I'm an alcoholic, I was an alcoholic but now all these years – I won't do it (drink) again. Alcohol was a problem for me so I stay away from that... in here (prison) I don't drink... I don't want to do that. [How do you know that you can stay away permanently from alcohol if you were paroled?] I go to AA every week since 1988. I had to accept that I am a alcoholic. I heard a lot of stories of going back (returning to alcohol)...when I heard it I realize I can't drink because the people who go back, all their problems from before come back with drinking. If I have a problem in my life, I don't go to bar to drink, instead I can talk to people now..better to talk to friend or family for help or advice... I have no desire to drink. I don't wish to do it.. I don't want problems so I stay away from booze." [How do you understand that you became a problem drinker? I saw my father drink when I was a boy...Iwanted to be like my father...I think because I saw him drinking I wanted to do it. Drinking was normal in my culture...everybody drink all the time...people got

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drunk. It was normal. [If you are deported to Mexico how are you going to get support to stay sober there?] I want to be more involved in AA if I get out...there are AA meetings in the city 15 miles from my village.. I want to do more service...I can drive there, it's not far. I only want to work on my family farm, make enough to eat and sell for my family. I want simple life, to work and be with my family. [Will family members in Mexico respect and support your choice to abstain from alcohol?] Yes, they know I don't drink..they don't bother me, they leave it alone."

Mr. Romero appears to feel genuine remorse and takes full responsibility for the murder. He does not evidence any signs of resentment or self pity for receiving a life sentence and spending the past 23 years in prison.

B. Relevance of mental condition to life crime/criminal behavior.

Mr. Romero was intoxicated at the time of the crime. His blood alcohol level was 0.16. In another incident prior to the instant offense and also under the influence of alcohol, he threatened an ex-girlfriend with a knife. He was also arrested for drunk driving.

XIV: Assessment of Dangerousness:

Risk for violence cannot be predicted with any certainty but statistics and research in the area of risk analysis have identified factors that make a particular individual more likely to commit future acts of violence than the average member of the non-prison population.

A. Within controlled setting:

Mr. Romero has remained discipline free and violence free for the entire time of his 23 year incarceration.. Therefore he is of minimal risk when compared to the general prison population.

B. If released to the outside community:

Mr. Romero has statistically based static factors for increased violence potential when compared to the average member of the general population. These factors still stand: 1) He is a man. 2) He has committed violence before. 3) He is single. 4) He has a history of alcohol abuse.

Factors that are likely to lower his risk for violence still stand as well. These are: 1) No history of childhood sociopathy. 2) Increased education. 3) Violence risk drops after age forty. 4) He has no motivation for violence and does not endorse any violent ideation, intent or plans. 5) He has remained alcohol free for 15 years and clearly understands that he cannot ever drink alcohol. 6) He has never used illegal narcotics. 7) There is no evidence of paranoia of a psychotic nature or as a result of a personality disorder.

Not withstanding the presence of immutable risk factors in that Mr. Romero is male and has a history of alcohol abuse and violence, it seems that in his consistent efforts over the many years that he has been in prison he seems to have rehabilitated himself while

June, 2005

concurrently reducing his risk potential to as minimal a level as is possible. However because of the established actuarial and clinical risk factors in his case, Mr. Romero will never pose as low a risk as the average member of the general population. At present, in this examiner's judgment, were Mr. Romero released back into the community and to remain alcohol free, he would pose minimal risk of dangerousness to others. The minimal risk that he currently poses is contingent upon Mr. Romero's commitment to life-long sobriety by continuing to attend Alcoholics Anonymous or participate in alternate alcohol recovery activities in the United States or Mexico. Mr. Romero is has bought into AA; and by all appearances is an active and enthusiastic member of the AA community. He seems to have internalized the tools that AA taught him so that will always know he is an alcoholic and must never drink. Achieving life-long sobriety hinges on continuos AA involvement that typically includes performing charitable activities and going to AA meetings no matter how much time has passed since the last drink.

C. Significant risk factors/precursors to violence:

The foremost risk factor if released into the community is the potential for alcoholic relapse. There is a clearly established link between Mr. Romero's past consumption of alcohol and the commission of violence. Were he to resume drinking his violence potential significantly increases. Therefore it is imperative that Mr. Romero if released,he continous to participate regularly in relapse prevention activities If he is granted parole and deported back to Mexico, there are AA meetings available there, making it realistic for him to maintain his minimal risk status so as to he is not a danger to the people there..

Counter balancing the risk for relapse are the additional protective factors: Mr. Romero's apparent strong commitment to stay sober and that he seems to fully accept that he is an alcoholic and must never drink. That he shows he is invested in recovery activities and the sober community evidenced by his 15 year active involvement in Alcoholics Anonymous. That he also clearly demonstrates that he understands the link between his drinking and likely subsequent violent behavior. That he knows if he drinks he will likely cause himself serious problems. That he also shows no signs of impending relapse such as the presence of cravings for alcohol. That Mr. Romero now possesses coping skills to effectively handle his problems, wherein in the past, when confronted with difficulties he coped by drinking, avoiding his problems and making them even worse. Another factor militating against relapse is that he is firmly established in a busy lifestyle including regular and meaningful contact with others. It is commonly known that inactivity and isolation from others can make alcoholics vulnerable to distorted thinking and rationalizations that lead to relapse. This makes him less vulnerable to return to alcohol. Finally, Mr. Romero has achieved 15 years of uninterrupted sobriety. That he has not relapsed for such a length of time makes it more probable that he will not relapse in the future.

XV. Clinician Observations/Comments/Recommendation:

Per the previous evaluations reviewed for this report, Mr. Romero, if released, he plans to live with his brother in Los Angeles and work in his brother's landscaping business,

Romero, Isidro D-07204 June, 2005

which his brother has confirmed as an ongoing option. Because Mr. Romero is an illegal alien in the U.S., he may be deported to Mexico upon release. Mr. Romero's family owns arable land in Mexico where he plans to farm support himself. He maintains that he has significant family in Mexico. Mr. Romero claims that he has a letter now, verifying that the land exists, which the Parole Board previously requested of him. Mr. Romero is prosocial and without mental illness. He is flexible and seems to have the capacity to adapt relatively easily to changing situations. This bodes well for successful re-entry into free scoiety were he paroled. He possesses the assets of sociability and has the capacity to emotionally and attach to others making him less of risk and more likely to quickly gain the support and positive regard of members of the community he may live in people enhancing his chances to continue the meaningful and productive life style he established while in prison. Mr. Romero impressed this examiner and distinguished himself from the more typical inmate due to the genuineness and candor that he projected throughout all the entire interview. He responded openly and completely to the questions and concerns presented him. He also demonstrated an unusual maturity wherein he seems to have already fully prepared himself and accepted that he may be denied parole again and more likel than not will spend the rest of his life in prison. He shows that he feels that the punishment he has been given is deserved because he took someone's life. Unlike the majority of lifers he showed no signs of self-pity, resentment or bitterness about being locked up indefinitely, even when he expressed that by having gone to prison the greatest loss of his life was losing his family and feeling powerless to provide them with anything more than a phone call, letter or short visits on an infrequent basis. He indicated that if he is to live the rest of his life in prison, he will simply continue his established life of advancing his knowledge and skills, being of service to others and continue to practice his faith. All of these things collectively appear to keep him positive and confident that no matter what happens he will continue to make a life for himself that is meaningful, productive, as happy as is possible and of help to others.

There are no psychiatric reasons to retain him. Mr. Romero poses minimal risk to the community on the condition that he continue his alcohol recovery activities. Mr. Romero is man will likely earn the respect and positive regard of others in any community he may live.

Elizabeth R. Lewis, Ph.D.

Contract Psychologist

San Quentin State Prison

EXHIBIT

SUPERIOR COURT? TELL STATE OF CALIFORNIA, CC 2008 OF CALIFORNIA, CC 2008 OF CALIFORNIA, CC 2008 OF CALIFORNIA, CC 2008 OF CALI

MINUTE ORDER

Case Number M-11411 X A

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People Vs Romero, Isidro

Report Request Criteria

1. Docket Date Range : Date filte

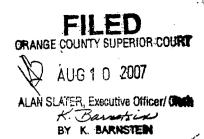
2. Sequnce Number Range: Sequence filter

3. Docket Category : Category filter

Docket Dt	Seq	<u>Text</u>
8/10/2007	1	Hearing held on 08/10/2007 at 09:00 AM in Department C5 for Chambers Work.
	2	Officiating Judge: Richard M. King, Judge
	3	Clerk: K. Barnstein
	4	No Court Reporter present at proceedings.
	5	No appearances
	6	Court read and considered Petition for Writ of Habeas Corpus.
	7	Petition for Writ of Habeas Corpus is denied for the reasons stated in the order denying writ filed 07/06/2007.
	8	Writ denied for the reasons set forth in the Order Denying Habeas Corpus
	9	Order Denying Habeas Corpus signed and filed.
	10 11	Copies of this minute order and signed order mailed to Isidro Romero CDC # D-07204 3-N-06 San Quentin State Prison San Quentin, CA 94964. Copies of this minute order and signed order forwarded to Orange County.
	ł I.	Copies of this minute order and signed order forwarded to Orange County District Attorney's Office.

Name: Romero, Isidro Case: M-11411 X A

Page 1 of 1 MINUTE ORDER Report Date: 08/16/2007 08:29



SUPERIOR COURT OF THE STATE OF CALIFORNIA FOR THE COUNTY OF ORANGE

IN RE ISIDRO ROMERO, Petitioner,)))	CASE NO. M-11411 (C-54812) ORDER DENYING HABEAS CORPUS
)	
ON HABEAS CORPUS,))	

TO THE PEOPLE OF THE STATE OF CALIFORNIA AND TO DEFENDANT, AND HIS COUNSEL OF RECORD:

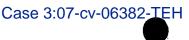
Petitioner is serving an indeterminate prison term of 16 years to life with the possibility of parole, based on his 1985 conviction for second degree murder with a knife use enhancement. Having been found unsuitable for parole on at least ten prior occasions, he now challenges the current (3/7/07) decision of the Board of Parole Hearings (the Board) on habeas corpus.¹

Circumstances of the Commitment Offense

The circumstances of the offense which were read into the record at the 3/7/07 hearing show that in 1982, petitioner's friend (Cruz) had appeared at a party (uninvited) and attempted without success, to persuade his girlfriend to leave. Cruz left and

¹ The current petition refers to the Board of Parole Hearings' failure to comply with this court's 4/13/07 order requiring the Board to provide him with a proper record of his 2006 parole denial hearing. (Orange County Superior Court Case #M-10932). However, because the issue of the Board's compliance with this court's prior order is not before this court in this proceeding, it will not be addressed herein.

Filed 09/02/2008



returned hours later accompanied by several others, including petitioner. When the girlfriend still refused to leave, a fight erupted which escalated into a brawl, during which petitioner stabbed the victim (Gomez) who later died from multiple wounds. Several others were also injured, including defendant, who sustained a stab wound to the arm for which he obtained hospital treatment. At the parole suitability hearing petitioner admitted the offense, admitted being armed with a knife, but claimed to have committed the offense only after having been attacked at knifepoint by the victim. He also admitted having been drunk at the time, having consumed at least two or three six-packs. His blood alcohol level was .16%.

Petitioner's disciplinary history in prison was exemplary, consisting only of a minor "128" in 1986. He has worked in vocational dry cleaning for years. He admits to being an alcoholic, has attended AA since 1988 and stated his intention to continue attending AA if released. However, the record showed that his AA attendance from 2000 through 2005 was minimal, although he resumed regular attendance in 2006. Because he is an illegal alien, he will likely be deported to Mexico and plans to work on his parents' farm. However, it has not been definitively determined if AA or comparable programs are available where he plans to live. The most recent psychological assessment was favorable.

The Board's Decision

The 3/7/07 decision found petitioner unsuitable for parole on the ground that he would pose an unreasonable risk of danger to society or a threat to public safety if released from prison. The decision was based on the following grounds:

- 1) The commitment offense –It was carried out in a very cruel, cold and callous manner, in that petitioner and his friends crashed a party, engaged in a fight where petitioner stabbed the host, who was unarmed. It was carried out in a manner which demonstrated a very callous disregard for human suffering in that the victim was stabbed multiple times, after which petitioner and his friends fled. Witnesses saw petitioner grab the victim by the hair, pull him forward and stab him in the chest. The motive was extremely trivial in relation to the offense.
- 2) Prior criminal history/social history Petitioner had a history of alcohol abuse and a prior criminal history. His prior record included convictions for drunk driving

(1978) and assault (1981). In the latter offense, he threatened a girlfriend with a knife. In both prior offenses, he received probation, but failed to profit from society's previous attempts to correct his criminality. The Board found an escalating pattern of criminal conduct which culminated in the commitment offense. Further, although the 2005 psychological report was generally favorable, the Board viewed it as inconclusive because, although it stated that petitioner would pose a minimal risk to society if he abstained from alcohol consumption, it also stated that petitioner's risk would increase significantly if he were to resume drinking.

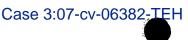
- 3) Parole Plans As an illegal alien, petitioner will probably be deported, and plans to live and work on his parents' farm in Puebla, Mexico. Although the Board acknowledged this to be a valid offer of employment and residence, it pointed out that because petitioner had admitted having been raised in a culture of alcohol abuse, it expressed concern that his plan to return to this environment would make it difficult to maintain sobriety after his release.
- 4) <u>Self Help</u> The Board found that more self-help was needed so that petitioner will learn to face, discuss understand and cope with stress in a nondestructive manner, and to understand the reasons for the commitment offense. The Board expressed skepticism about petitioner's version of the commitment offense, and criticized his failure to regularly attend AA from 2000 through 2005, when it should have been apparent that substance abuse prevention was a top priority based on its connection to the commitment offense.
- 5) Opposition from the People The Orange County District Attorney and the Anaheim Police Department indicated their opposition to petitioner's release.

Contentions on Habeas Corpus

- Petitioner claims that the decision finding him unsuitable for parole was unsupported by the evidence, and that the Board's continued reliance on the immutable circumstances of the commitment offense and his prior criminal history violates due process.
- 2) Petitioner claims that the decision was arbitrary and capricious, in that it was pre-determined, thereby violating his due process rights.

Standard of Review

Pen.C. § 3041(b), which provides that the Board of Prison Terms shall set a release date, also allows it to decline to do so if it determines that the commitment offense, or the timing and gravity of current and past offenses, is such that public



safety considerations require a longer period of incarceration. The California Supreme Court has construed Pen.C. § 3041(b) to mean that the Board must grant parole unless it determines that public safety requires a lengthier period of incarceration and may decline to set a parole date if it concludes on relevant grounds, with support in the evidence, that the grant of a parole date is premature for reasons of public safety, ((In re Rosenkrantz, (2002) 29 Cal.4th 616, at 654; In re Dannenberg (2005) 34 C4th 1061 at page 1071)

In arriving at its decision, the Board must consider "the prisoner's social history: past and present mental state; past criminal history, including involvement in other criminal misconduct which is reliably documented; the base and other commitment offenses, including behavior before, during and after the crime; past and present attitude toward the crime; any conditions of treatment or control, including the use of special conditions under which the prisoner may safely be released to the community; and any other information which bears on the prisoner's suitability for release." (Title 15 Cal. Code of Regulations § 2402(b))

Once it has considered all relevant factors, the Board's discretion in determining parole suitability is extremely broad and almost unlimited, but not absolute. (In re Dannenberg (2005) 34 C4th 1061 at 1082; In re Rosenkrantz (2002) 29 Cal.4th 616, 655; In re Powell (1988) 45 Cal.3d 894, 902) The Board's decision must reflect an individualized consideration of the specified criteria and cannot be arbitrary or capricious. However, the precise manner in which the specified factors relevant to parole suitability are considered and balanced lies within the discretion of the Board. If the decision reflects due consideration of the specified factors as applied to the individual prisoner in accordance with applicable legal standards, the court's review is limited to ascertaining whether there is some evidence in the record that supports the decision. (In re Rosenkrantz, (2002) 29 Cal.4th 616, at 677.) The Board has very broad discretion to identify and weigh the factors relevant to predicting "by subjective analysis whether the inmate will be able to live in society without committing additional antisocial acts." (In re Fuentes (2005)135 Cal.App.4th 152, at 160)

Application of Standard of Review

Petitioner claims that the Board's finding of unsuitability is unsupported by the record because there is no evidence that the offense was carried out in a very cruel, callous manner. He argues that because the offense was the result of a fight between himself and the victim, it did not exceed the minimum elements of second degree murder. (Citing Rosenkrantz and Dannenberg, supra) Comparing his offense to those described in recent Court of Appeal decisions in which individuals have been found suitable for parole, he claims that his commitment offense is far less egregious. (Citing In re Lee (2006) 143 CA4th 1400; In re Elkins (2006)144 Cal.App.4th 475; In re Scott (2005) 133 Cal.App.4th 573 and other recent cases) For this reason, he asserts that the Board's decision is without evidentiary support.

It is unnecessary for this court to determine whether petitioner's commitment offense alone would have supported the Board's decision herein, because the unsuitability determination herein was not limited solely to the commitment offense, but was instead based on additional factors which are supported by the evidence.

The transcript of the 3/7/07 parole suitability hearing indicates that the Board of Parole Hearings addressed the factors required by law and provided an individualized consideration of these factors as they related to petitioner. Therefore, his claim that the result was pre-determined, arbitrary and capricious is unfounded.

A major reason for the Board's conclusion that petitioner still represents a threat to public safety involved its concern that petitioner had not shown that he would abstain from alcohol abuse if released. Petitioner was admittedly drunk at the time of the offense, and an admitted alcoholic who began drinking at age 15. His alcohol abuse also contributed to his prior criminal record. The 2005 psychological assessment stated petitioner's Axis 1 Diagnosis as "Alcohol Abuse in full institutional remission" and identified his foremost risk factor as the potential for alcohol relapse. The report's conclusion that petitioner posed a minimal risk to the community if released was expressly conditioned upon his continued abstention from alcohol use and his continued involvement with alcohol recovery activities.

The factors relied on by the Board in denying parole (i.e., petitioner's

commitment offense, criminal history, social history, parole plans and self-help issues) are connected to his alcoholism. Because his threat to society if released is directly related to his permanent abstention from alcohol use, the Board's conclusion that he would still pose a threat to society if released, based the aforementioned deficiencies in this area (including but not limited to his failure to regularly attend AA from 2000 through 2005), is supported by the record. Consequently, the denial of parole is supported by "some evidence" and was not an abuse of discretion. (In re Rosenkrantz (2002) 29 Cal.4th 616)

Disposition

For the foregoing reasons, petitioner has failed to establish a prima facie case for relief, and the petition for writ of habeas corpus is therefore denied. (People v. Romero (1994) 8 Cal.4th 728, 737; In re Clark (1993) 5 Cal.4th 750, 769, fn. 9.)

Filed 09/02/2008

R. M. KING